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“Quantitative analysis reveals the basic behavioural repertoire of the urochordate *Ciona intestinalis*.”

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27 **Abstract**

28 Quantitative analysis of animal behaviour in model organisms is becoming an increasingly essential  
29 approach for tackling the great challenge of understanding how activity in the brain gives rise to  
30 behaviour. In addition, behavioural analysis can provide insight on the molecular basis of nervous  
31 system development and function as demonstrated by genetic screens focused on behavioural  
32 phenotyping in some genetically tractable model organisms. The progress in building low-cost  
33 automated tracking setups, together with advances in computer vision machine learning have  
34 expanded the repertoire of organisms which are amenable to quantitative behavioural analysis. Here  
35 we used automated image-based tracking to extract behavioural features from an organism of great  
36 importance in understanding the evolution of chordates, the free swimming larval form of the  
37 tunicate *Ciona intestinalis* which has a compact and fully mapped nervous system composed of only  
38 231 neurons. We analysed hundreds of videos of larvae and we extracted basic geometric and  
39 physical descriptors of larval behaviour. Most importantly, we used machine learning methods to  
40 create an objective ontology of behaviours for *C. intestinalis* larvae. We identified eleven behavioural  
41 modes using agglomerative clustering. This approach enabled us to produce a quantitative  
42 description of the basic larval behavioural repertoire. Furthermore, we tested the robustness of this  
43 repertoire by comparing different rearing conditions and ages. Using our pipeline for quantitative  
44 behavioural analysis, we successfully reproduced the known photoresponsive behaviour and the first  
45 demonstration to our knowledge that *C. intestinalis* larvae exhibit sensory arousal and thigmotaxis,  
46 both of which can be modulated by the anxiotropic drug modafinil. Remarkably, by comparing the  
47 behaviour between animals assayed individually or in small groups, we found that crowd size  
48 influences larval behaviour. This study shows that *C. intestinalis* larval behaviour can be broken down  
49 to a set of stereotyped behaviours that are used to different extents in a context-dependent manner.  
50 Furthermore, it raises exciting possibilities such as mapping behaviour to specific neurons of this  
51 compact chordate nervous system and it paves the way for comparative quantitative behavioural  
52 studies as a means to reconstruct the evolution of behaviour, especially in the chordate lineage.

## 53 **Introduction**

54 Close observation of living animals can reveal the large repertoire of behaviours they use to interact  
55 with the world. Animals can crawl, swim, run and fly to move from one place to another. Many  
56 animals perform extremely complex behaviours to attract mates, exhibit parental care and establish  
57 their position in social hierarchy. Numerous species are able to build elaborate structures, ranging  
58 from spider webs for catching preys to bird nests for shelter and raising of offspring. Some can even  
59 make and operate tools. These observations have led to two important challenges for the scientific  
60 community to pursue.

61 The first is to obtain a detailed understanding of how nervous systems generate behaviour.

62 Modern approaches to tackle the first challenge include techniques for recording and targeted  
63 manipulation of neuronal activity using a wealth of molecular and cell type information (1). However,  
64 to fully understand the function of neural circuits, we need to obtain an equally precise and detailed  
65 understanding of behaviour (2, 3).

66 Behaviour is a process that is characterised by dynamic changes, and complex sequences of events  
67 that are often convoluted with noise. Therefore, measuring animal behaviour using manual  
68 approaches can be time consuming, and prone to errors, the latter especially in cases where a  
69 behavioural event is taking place over a very short or very long time scale, making it difficult to be  
70 detected by the experimenter. Modern computational analysis methods and accessible hardware for  
71 recording videos with high temporal resolution make it possible to observe and quantify behaviour in  
72 a more comprehensive, accurate and automated approach (4-7).

73 Automated behavioural analysis has been used to divide and classify behaviour into distinct  
74 modules, and has been extensively demonstrated in several organisms, including worms (8, 9),  
75 flies(10), zebrafish (11, 12) and mice (13). Despite the morphological and locomotor differences  
76 between these organisms, automated tracking systems coupled to machine learning can transform  
77 what appears as complex behaviours into a sequence of more basic motor patterns that are

78 executed in a particular frequency and order. Overall, this approach can result in a simplified  
79 description of how behaviour is organised and carried out.

80 The second challenge is to understand how behaviours and nervous systems co-evolved through  
81 time. Most efforts to achieve this goal have focused on the dissection of the developmental and  
82 genetic mechanisms driving the evolution of neuronal circuits linked to species-specific behaviours  
83 (14, 15). The advent of automated tracking and analysis methods that allow for the recognition and  
84 segmentation of morphologically diverse organisms, offer the opportunity to perform quantitative  
85 behavioural analysis of key organisms across different taxa (16-18). This approach could provide  
86 important insight into conserved, as well as novel behavioural programs and how they are linked to  
87 major evolutionary transitions in nervous system structure and cell type composition.

88 In this study, we used the tunicate *Ciona intestinalis* to tackle these two challenges. Tunicates are the  
89 closest relatives of vertebrates and have been successfully opted as models to study the evolution of  
90 chordates(19). They have larvae with a chordate body plan and development(20). The two most  
91 intensively studied tunicate species are *Ciona intestinalis* and *Ciona robusta*. *Ciona intestinalis*  
92 features a defined cell lineage, an extensive genetic toolkit and a sequenced genome that shares a  
93 high number of homologous genes to its vertebrate counterparts. It has been extensively used in  
94 studying the chordate origins of many biological processes and has been particularly successful as a  
95 model for studying the development and evolution of the chordate nervous system (21). It possesses  
96 a dorsal central nervous system for which a documented synaptic connectome of the 177 CNS  
97 neurons has recently been published. Together with a publication of the peripheral nervous system  
98 connectome demonstrating the presence of an additional 54 neurons, these studies have laid out the  
99 foundations for future functional studies (22-24). This makes *C. intestinalis* the second organism with  
100 a complete connectome available after *Caenorhabditis elegans*(25).

101 An adult *C. intestinalis* animal can release hundreds of eggs together with sperm. Gametes undergo  
102 fertilization and through a series of stereotyped developmental steps, with the embryos taking the  
103 form of hatching lecithotrophic larvae. When the larvae hatch from the chorion, they find themselves

104 in the water column. Following the hatching event larvae swim upwards towards the water surface  
105 by negative gravitotaxis using the otolith cell. Ablation experiments have shown that animals lacking  
106 the ocellus are also capable of this behaviour, indicating that the ocellus is not involved in  
107 gravitotaxis.(26, 27). Later on, larvae exhibit negative phototaxis, swimming away from the bright  
108 surface to deeper waters in a behaviour that possibly aims to identify suitable substrates for  
109 settlement (28, 29). The swimming larvae are thought to display three types of swimming activity:  
110 tail flicks, spontaneous swimming and shadow response(30). Larvae under constant illumination  
111 swim more frequently and for more extensive periods of time earlier in life, up to 2 hours post  
112 hatching. A behaviour that develops later in development is the shadow response, where dimming of  
113 light results in symmetrical swimming. After two hours post hatching the tail beating frequency  
114 increases(30). *C. intestinalis* larvae have also been shown to exhibit both sensitization and  
115 habituation to light (31, 32). A recent study reported that distinct groups of photoreceptors mediate  
116 negative phototaxis and dimming behaviour in *C. intestinalis* larvae(33). This functional insight was  
117 achieved through behavioural analysis on mutant lines, demonstrating the power of genetics in  
118 combination with behavioural analysis and knowledge of the larval connectome. However, this is not  
119 the first attempt to link behaviour to its genetic underpinnings in *C. intestinalis* as it has been shown  
120 that targeted knockdown of *opsin1* results in a loss of the light OFF response in larvae(34). Beyond  
121 phototactic and gravitotactic behaviours there is evidence that hints to the possibility that the larvae  
122 can exhibit chemotactic(35) and mechanosensory behaviours(36-40). However, these have remained  
123 largely unexplored.

124 Over the past decades, the biological research community has used *C. intestinalis* as a model for  
125 uncovering the basic principles of chordate embryonic and post-embryonic nervous system  
126 development. We believe that by building on this work (e.g. taking advantage of the numerous  
127 characterised promoters, known cell lineage etc), we can use the compact nervous system of the  
128 larva in order to uncover the basic molecular and cellular mechanisms underlying chordate nervous  
129 system function. We have the tools required to deconstruct the molecular and cellular components

130 of the larval nervous system(41) and the opportunity to adopt existing toolkits to probe its  
131 functions(42). Here, we attempt to match the growing insights into the structure and function of the  
132 *C. intestinalis* nervous system with a detailed characterization of its behavioural output. To achieve  
133 this, we collected a large dataset of recordings of free-swimming *C. intestinalis* larvae on a custom IR-  
134 illuminated and temperature-controlled set-up. We analysed the recordings using ToxTrac(43) and  
135 custom written Python scripts to obtain positional data and descriptors like speed and its variability,  
136 turning angles, and path complexity. To describe behaviour both accurately and objectively, we used  
137 unsupervised clustering methods to identify distinct behavioural clusters in our dataset, ultimately  
138 arriving at a quantifiable distribution of detectable behavioural components. This approach enabled  
139 us to study how this distribution of behavioural components changed under the influence of  
140 different rearing conditions, at different ages and in response to different sensory cues. Strikingly, we  
141 show that *C. intestinalis* larvae exhibited a state of sensory arousal when first transferred into the  
142 behavioural arena. This sensory arousal state and thigmotaxis behaviour could be modulated by the  
143 anxiotropic drug modafinil.

144

## 145 **Results**

### 146 **Defining behavioural parameters and modes**

147 In order to probe the behavioural dynamics of *C. intestinalis* larvae, we built an inexpensive tracker,  
148 with temperature control and the ability to deliver light stimuli with accurate spatiotemporal control.  
149 To maximize the open-source accessibility and modularity of the trackers we used Arduino based  
150 circuits for controlling the various tracker components and 3D printed housing for the agarose plated  
151 behavioural arenas. The agarose arena was housed inside a 3D printed ring fitted with infrared (IR)  
152 LEDs (Fig 1A).IR LEDs were used in order to visualise the animals in a dark-field configuration, while at  
153 the same time avoiding stimulating their photoreceptors with visible light, which they can detect and  
154 modify their behaviour (31-33). We imaged approximately 550 *C. intestinalis* animals behaving freely  
155 at two different frame rates: During the first 15 minutes after transferring animals to the setup we

156 imaged at 10 frames/s and subsequently we imaged the same animals for 5 minutes at 30 frames/s.  
157 Following acquisition and processing, the videos were analysed on the tracking program ToxTrac(43)  
158 (Fig 1C, D). Due to the lack of previous attempts to track *C. intestinalis* larvae with automated image  
159 tracking software, we tried a series of available programs. We found that ToxTrac worked best in our  
160 hands especially because it did not require specific knowledge of the animal's body geometry and  
161 because it was particularly robust against false positives and identity switches. The position of the  
162 animal was tracked by the centre of its detected shape, as identified by ToxTrac. From all the videos  
163 tracked we obtained around 850 traces for further analysis and quantification.

164 Below we introduce some of the descriptive parameters used and the reasoning behind their  
165 definition.

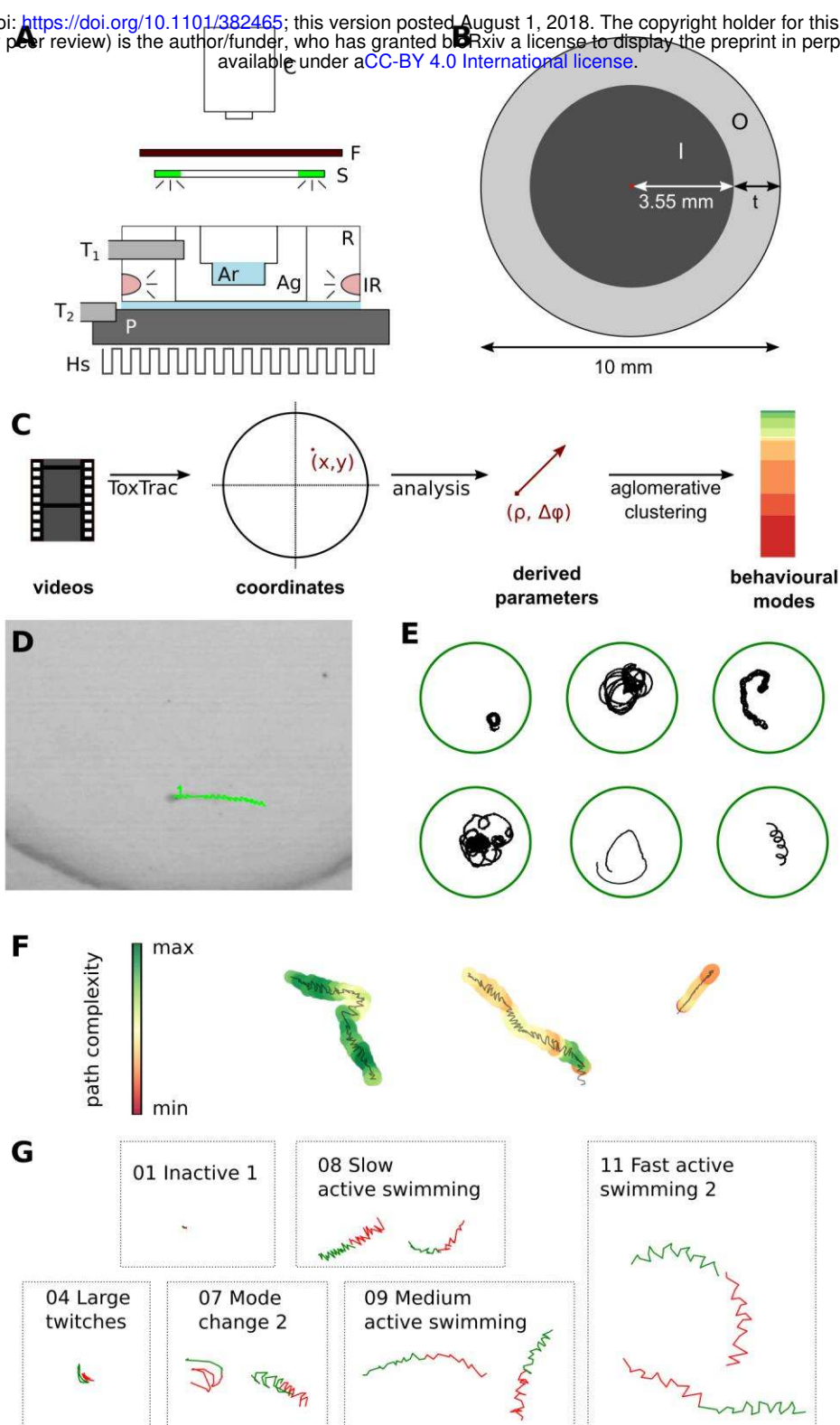
166 *C. intestinalis* larvae have been reported to modulate their locomotor activity levels through “bursts”  
167 of spontaneous activity (26, 30, 44). We decided to introduce a parameter that would provide a  
168 quantitative descriptor of locomotory activity, termed Activity coefficient (AC) and defined as the  
169 fraction of time an animal spent locomoting. Filtered speed values of 200  $\mu\text{m/s}$  and above were  
170 considered as active, which in practical terms includes all actively swimming animals as well as  
171 movement of the animals' centre-point due to tail flicks and twitching.

172 Planktonic larvae from different species exhibit a wide range of paths during locomotion(45), often  
173 dictated by their biomechanics (44, 46-48). Notably, reduced food availability can result in nonlinear  
174 paths, while directionality in trajectories can often be indicative of behavioural responses to  
175 environmental stimuli (49, 50). While recording animals in the behavioural arenas, we observed that  
176 they exhibited a large repertoire of trajectories while swimming in the arena. Some of the animals  
177 were swimming in straight lines with relatively few turns and changes in speed, representing what an  
178 observer would describe qualitatively as low complexity trajectories. In contrast, other animals  
179 moved in a more “complex” fashion, exhibiting sudden changes in direction and moving in spiral or  
180 circular paths (see Fig 1E for example trajectories). We decided to quantify the local path complexity  
181 using a method presented by Roberts et al. (51). In summary, this method uses embedding matrixes

182 for positions in a specific time window, over which the local path complexity is calculated in bits of  
183 entropy. Minimal complexity values calculated by this method correspond to the most predictable  
184 trajectory or, in other words, the most invariable movement in terms of represented speeds and  
185 directions in the time window (see Fig 1F for example traces with decreasing local complexity).

186 While observing the animal trajectories, we noticed that a significant fraction of animals swam near  
187 the edges of the arena. This behaviour has been previously identified in other organisms (13, 52-54)  
188 including humans(55-57) and has been termed thigmotaxis. We decided to quantify the thigmotactic  
189 behaviour of the larvae. To define thigmotaxis, we divided the arena into two concentric zones of  
190 equal surface area. Animals in the outer zone were considered thigmotactic (Fig 1B). The amount of  
191 thigmotaxis is quantified in two measures: “Total Time spent in Outer zone” (TTO) and “Total  
192 Distance travelled in Outer zone” (TDO), as utilised for zebrafish previously (58).

193 A long-term goal of quantitative animal behaviour analysis is to identify the behavioural strategies  
194 that animals use to solve tasks and to dissect the underlying neuronal mechanisms. One approach to  
195 reach this goal is to generate a structured quantitative description of behaviour, by identifying  
196 stereotyped behavioural components. In order to identify these behavioural components in behaving  
197 *C. intestinalis* larvae, we used unsupervised clustering methods on a small feature- set ( $\rho$ - speed,  $\Delta\rho$ -  
198 acceleration and  $\Delta\phi$ -turn angle values; see methods section for details), and we were able to identify  
199 and classify 11 distinct clusters in our data set. We assigned a relevant descriptor of the behavioural  
200 mode to each cluster according to its biological interpretation. This was done by inspecting the  
201 original videos and superimposing corresponding cluster data. When unable to resolve a biological  
202 difference between two clusters, we assigned them the same descriptive name. We list the  
203 behavioural modes in approximate order of speed of movement in Table1 below (see Fig 1G for  
204 some example traces of different modes and S1-S5 Video for example videos). This simple ontology  
205 of the objectively detectable behavioural modes in our data set served as an extra tool in assessing  
206 the effects of different stimuli and genetic or pharmacological perturbations on the behavioural  
207 repertoire of the larvae.



**Fig 1. Setup and analysis methods.**

(A) Setup: C- camera, F- IR filter, S – ring with stimulation LED-s, T1 – Thermometer 1 measuring local temperature in the agarose, T2 – Thermometer 2 measuring cooling plate temperature, P – cooling plate, Hs – heat sink, R – PLA ring holding the arena, Ag– agarose, Ar– Arena with animals, IR – IR illumination. (B) Arena dimensions and areas used in thigmotaxis measures I- inner arena with radius 3.55 mm and O– outer thigmotaxis zone; with  $t= 1.45$  mm the zones have equal surface area and the width of the thigmotaxis zone is above one animal body-length. (C) Workflow chart. (D) An example animal being tracked with the ToxTrac software (E) Some example trajectories of wild type animals swimming for 5 minutes (F) examples of different local complexity of a trace - each trajectory is coloured by local complexity which is calculated over a 3 s window and the total span of each trace is 6 s (G) Examples of traces spanning 50 frames based on which the current behavioural mode was calculated. In green are the 25 frames before the current time-point and in red the 25 frames later.

208 Table 1: Behavioural clusters with names.

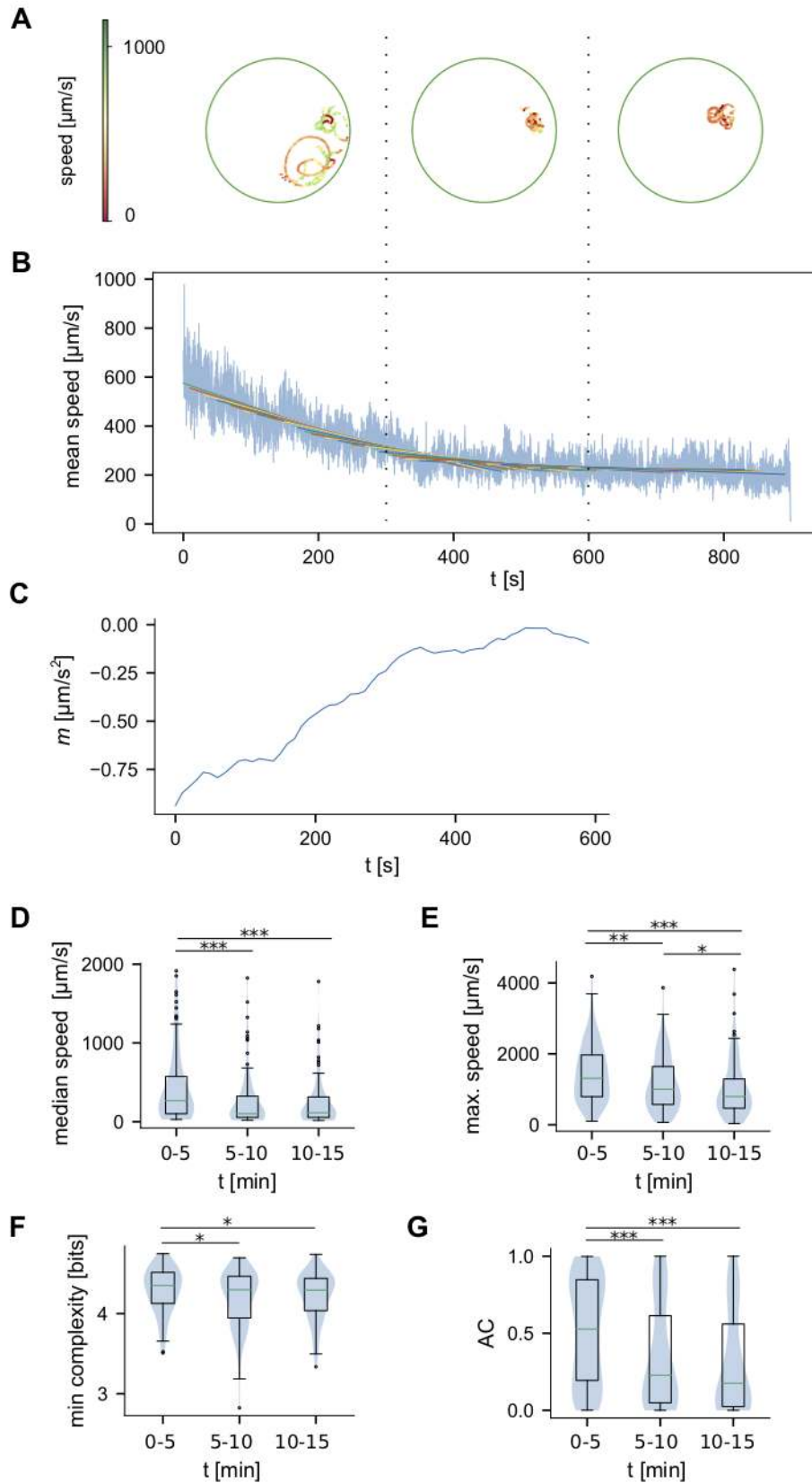
Cluster	Descriptive name
01	Inactive 1
02	Inactive 2
03	Small twitches
04	Large twitches
05	Collision or deceleration event
06	Mode change 1
07	Mode change 2
08	Slow active swimming
09	Medium active swimming
10	Fast swimming 1
11	Fast swimming 2

209

### 210 **Adaptation to the arena**

211 The introduction of an animal to a new environment, such as a tracking arena, is one of several  
212 potential triggers of generalised nervous system arousal (59-61). Arousal can also be observed in  
213 response to stimuli associated with harm, such as strong mechanical stimuli. In the case of the  
214 nematode *C. elegans*, transferring an animal from one plate to another using a metal pick can result  
215 in a temporally defined state of arousal demarked by higher motor activity that eventually returns to  
216 baseline roaming locomotor activity(62). A similar observation has been made with mice when  
217 placed in an open-field arena(63). This period is often termed the adaptation period. Given that  
218 arousal mechanisms are evolutionarily conserved (64), we asked whether *C. intestinalis* larvae were  
219 subject to generalised nervous system arousal as a result of the transferring process to the tracking  
220 arena and the exposure to a new environment.

221 In order to answer this question, we decided that before recording the videos used for analysing  
222 baseline behaviour, each animal would first be recorded for a 15 min period (Fig 2). In the first  
223 minutes of the animal being exposed to the new environment its speed was generally higher (see Fig  
224 2A for example traces), which we quantified as the slope of linear regression over the average speed  
225 values of around 100 animals (Fig 2B, C). From these results, we inferred that the animals adapted to  
226 the arena within approximately 6 minutes. We compared some basic behavioural parameters of  
227 individual animals between the first, second and last third of the 15 min adaptation period (Fig 2 D-



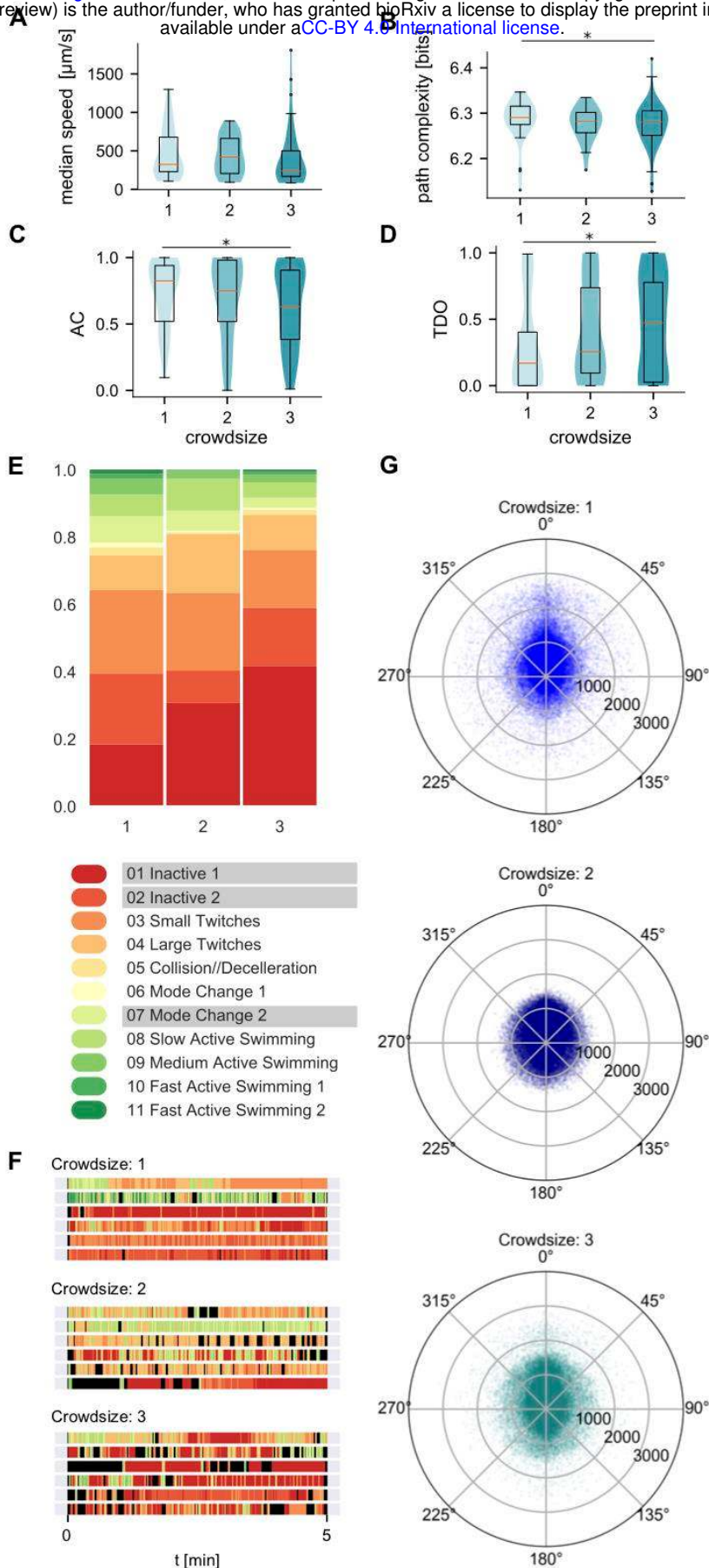
### Fig 2. Adaptation of animals to the arena

Analysis of adaptation behaviour of larvae in the first 15 minutes after introduction to the arena. (A) An example trajectory of a path during the adaptation period. The path is plotted in thirds each corresponding to 5 minutes of the recording as illustrated by the dotted lines linking this and the next panel (B) Average speed of 105 animals during the 15 minutes after introduction to the arena shows higher speeds immediately after the animals were exposed to the new environment. (C) Slope of linear regression fitted to the average speed (window 5 min, step size 10 s) shows the stabilisation of speed after ca 6 min. We compared (D) median speed, (E) maximum speed, (F) minimal reached path complexity and (G) activity coefficient between the first, second and last third of the 15 min adaptation period ( $N_1=105$ ,  $N_2=102$ ,  $N_3=92$ ).

228 G). There are significant differences in both median speed (Fig 2D, median at 267  $\mu\text{m/s}$  in the initial 5  
229 min vs. 101  $\mu\text{m/s}$  in the second and 113  $\mu\text{m/s}$  in the last 5 minutes;  $p < 0.001$  for both comparisons)  
230 and maximum speed of the animals (Fig 2E, median at 1310  $\mu\text{m/s}$  in the initial 5 min vs. 1003  $\mu\text{m/s}$  in  
231 the second and 795  $\mu\text{m/s}$  in the last 5 minutes;  $p(1 \text{ vs. } 2) = 0.0013$ ,  $p(1 \text{ vs. } 3) < 0.001$ ,  $p(2 \text{ vs. } 3) =$   
232 0.048 ). Interestingly, as a result of sensory arousal, *C. intestinalis* larvae exhibited higher minimum  
233 path complexity in the first 5 minutes after they are placed in the arena (Fig 2F, 4.349 bit in the initial  
234 5 min vs 4.297 and 4.293;  $p(1. \text{ vs. } 2.) = 0.027$ ,  $p(.1 \text{ vs. } 3.) = 0.0038$ ). This observation suggested that  
235 the animals followed a more unpredictable, or chaotic trajectory during the original arousal period.  
236 As they adapted they followed trajectories exhibiting lower entropy and thus higher degree of  
237 predictability. In other organisms such as birds the trajectory component showing higher entropy is  
238 thought to be associated with navigational uncertainty(65). Notably, the activity coefficient (Fig 2G,  
239 median at 0.53 vs. 0.23 and 0.18;  $p < 0.001$  for both comparisons) of the aroused animals is also  
240 significantly higher in the first 5 minutes, suggesting that bouts of inactivity are suppressed during  
241 this period. Finally, unlike mice in open-field tests, which show high thigmotaxis during the initial  
242 period(63), we found no significant changes in thigmotaxis in the case of aroused larvae vs. animals  
243 that have adapted (data not shown).

#### 244 **Behavioural effects of larval crowd size**

245 In a laboratory setting we often study behaviour using individual animals in isolation. However, in the  
246 wild, organisms are hardly ever acting in absolute isolation. Across the animal kingdom, locomotion  
247 and response to environmental stimuli are influenced by both direct and indirect interactions with  
248 conspecifics (66, 67). For example, interactions between individuals are important in mating(68), in  
249 the protection of colonies from pathogens(69), and in response to predators(70, 71). Previously it has  
250 been shown that mechanosensory interactions between desert locusts can lead to a dramatic  
251 phenotypic change where the animals switch from a cryptic solitary phase to a gregarious phase(72).  
252 More recently, in the genetically tractable organism *Drosophila melanogaster* it has been shown that  
253 mechanosensory interactions between adults drive collective response towards a sensory cue(73).



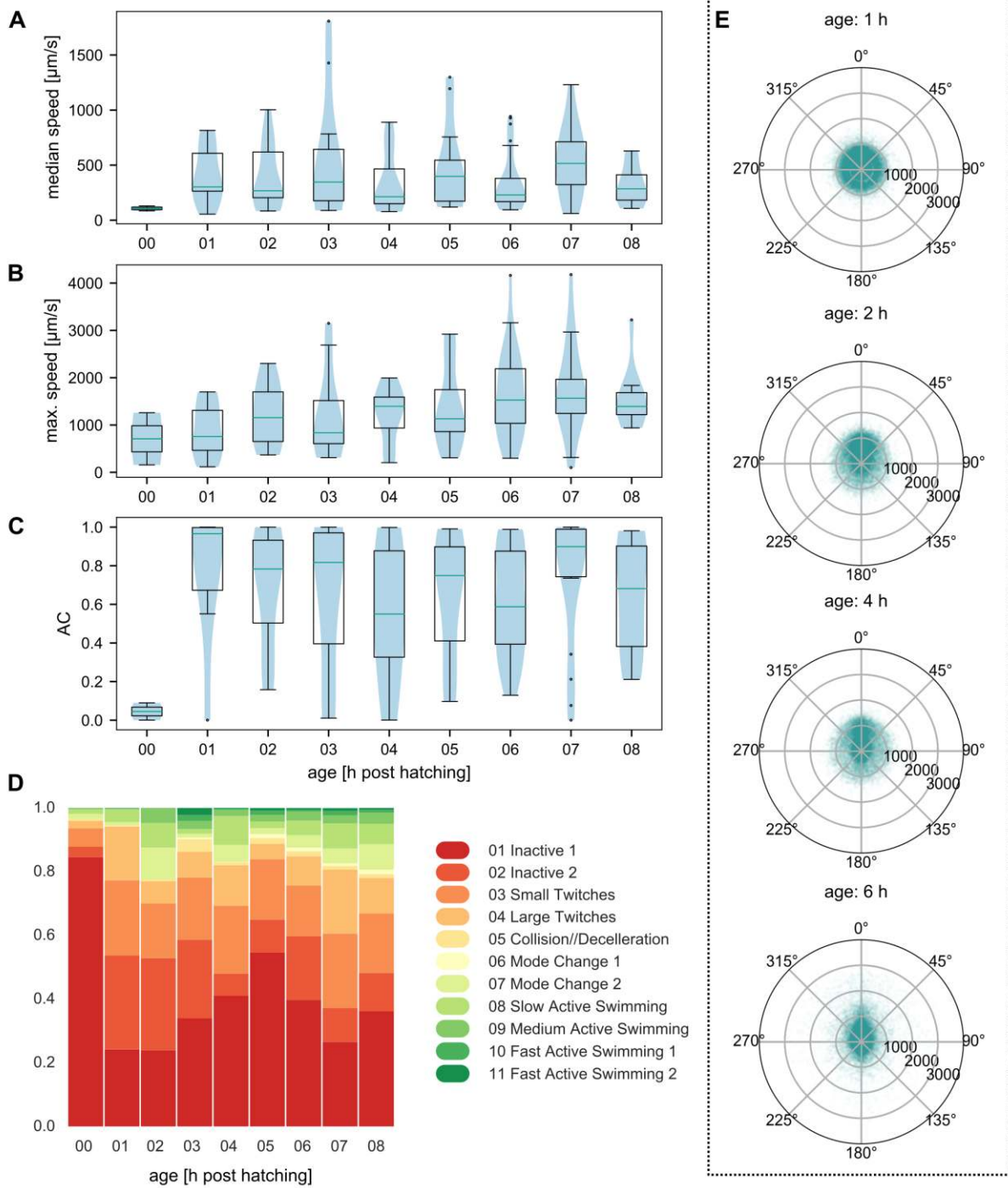
**Fig 3. Crowdsizes effects on behaviour**

Crowdsizes effects on (A) median speed, (B) path complexity, (C) activity coefficient and (D) TDO. (E) Distribution of behavioural modes in animals in experiments with different crowdsizes. The modes underlined in the legend are the biggest contributors to the Chi2 statistics (data in S1 Table) (F) Example ethograms of individual animals in crowdsizes experiments. Each line represents a 5 min recording and is coloured based on the assigned behavioural mode, black colour represents missing frames where modes could not be assigned. (G) Polar scatterplots of filtered speed values vs turn values for different crowdsizes (N1=33, N2=22, N3=46; number of points per polar plot is 100000).

254 The behaviour of *C. intestinalis* larvae in large groups has been studied to some extent, e.g. the  
255 change in distribution because of water agitation(29) or stimulation with light (30). In our study, we  
256 focused on the possibility of behavioural effects of small group interactions. To achieve this, we  
257 compared animals that were alone in the arena with animals recorded in pairs or groups of three. We  
258 found small differences in the basic behavioural parameters (Fig 3). Crowd size did not affect median  
259 (Fig 3A) or maximum speeds of movement significantly, but had a weak effect on the path complexity  
260 and activity coefficient (Fig 3B, C). The average path complexity was lowest for animals in the crowd  
261 size 3 group (6.281 bits, compared to 6.290 bits for animals in crowd size 1 group,  $p=0.05$ ) and so was  
262 the median AC value (0.63 compared to 0.82 and 0.75 for animals in crowd size 1 and 2 groups  
263 respectively,  $p(1. \text{ vs. } 3.)=0.045$ ). We also found slightly more animals with higher thigmotaxis values,  
264 with the difference only significant for TDO (Fig 3D) between crowd size 1 and 3 (median TDO is 0.17  
265 for crowd size 1 vs. 0.47 for crowd size 3,  $p= 0.0227$ ). The distribution of different behavioural modes  
266 shows higher representation of the less active modes corresponding to more time spent inactively in  
267 crowd size 3 animals (Fig 3E). In Fig 3F we present sample ethograms of 6 individual animals in  
268 different crowd size experiments. The smaller representation of higher speeds was also apparent  
269 when we plotted the distribution of speed values with the corresponding turn values on a polar  
270 scatterplot, presented for the different crowd sizes in Fig 3G.

### 271 **Age related changes in behaviour**

272 Behavioural changes that result from aging have long been the focus of various senescence studies,  
273 but there are similarly important age related changes underlying the normal development of the  
274 animals' behavioural repertoire through time(74-79). For larval *C. intestinalis*, light and gravity  
275 dependent behaviours have already been shown to change with age (26, 31). Here we examined the  
276 development of baseline behaviour in the early hours post-hatching. In animals reared and recorded  
277 at 14 °C, we observed that in the first hour after hatching they were mostly inactive, followed by a  
278 period of time when the larvae twitched very actively and flicked their tails but would not cover a lot



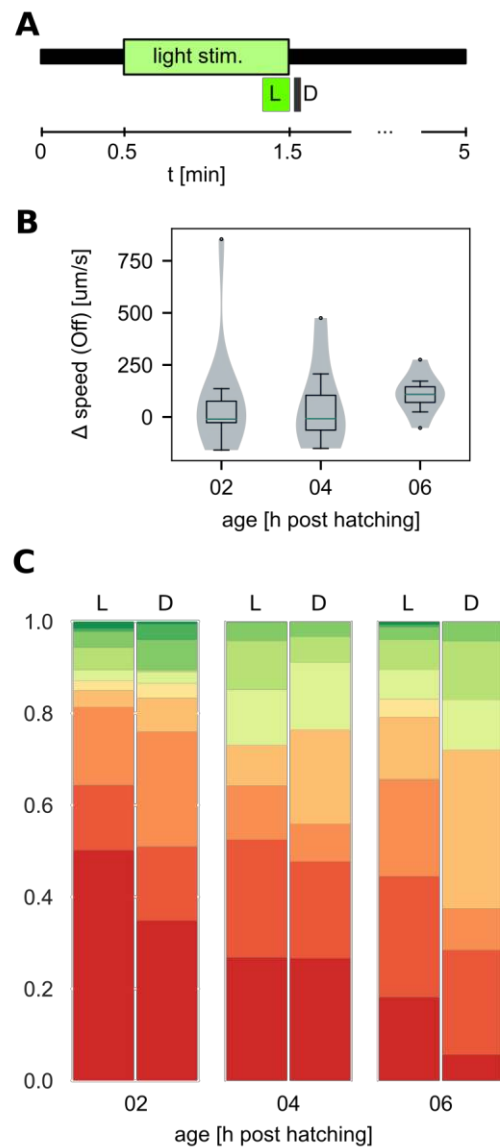
**Fig 4. Age related changes in behavioural parameters**

(A) Median speeds, (B) maximum speeds and (C) Activity coefficient (AC) for WT animals reared at 14°C at different age post hatching. (D) Distribution of behavioural modes in animals of different age. (E) Speed-turn plots for ages 1, 2, 4 and 6 h post hatching. (N(00)=2, N(01)=8, N(02)=14, N(03)=12, N(04)=15, N(05)=12, N(06)=29, N(07)=18, N(08)=10, number of points per polar plot is 50000)

279 of distance swimming. In Fig 4 we show some of the basic behavioural descriptors compared  
280 between ages of WT animals reared at 14°C. Both maximum and median speeds were lowest for  
281 animals immediately after hatching (Fig 4A, B), accompanied by very low AC (Fig 4C). While animals  
282 1h post fertilisation already achieved higher median speeds and generally had a very high AC, their  
283 movement was less directional as can be seen by the distribution of turn values versus speed values  
284 (Fig 4E) and the high representation of twitching modes in their behavioural repertoire (Fig 4D). To  
285 minimise any potential skewing of the data because of age-dependent changes, we used animals of  
286 2-8 hours post hatching age for all later comparisons, unless specified otherwise.

### 287 **Rearing temperature effects on a developmentally regulated behaviour**

288 We (Fig 4) and others have shown that as the *C. intestinalis* larvae go through the post-hatching  
289 motile phase of their life cycle they change their behavioural responses at multiple levels. Such  
290 behavioural changes are thought to be tightly linked to developmental changes taking place in the  
291 larvae. An interesting question that arises is whether this developmental regulation of locomotor and  
292 sensory behaviours is robust to different environmental circumstances, possibly through a  
293 mechanism of canalization (80-83) or whether it shows plasticity (84-86). Temperature is one key  
294 physical parameter that has been shown to affect the speed of most biological processes, acting as a  
295 major environmental factor influencing the rate of animal development (87-89) and behaviour(90,  
296 91). The two *Ciona* species (*Ciona intestinalis* and *Ciona robusta*) (92) occupy a very large part of the  
297 world's coastline from high to low latitudes(93) and they show great adaptability to a range of  
298 temperatures. Published studies have used 18°C as rearing and assay temperature for *C. intestinalis*  
299 and *Ciona robusta* larvae. However, our local animals belong to the *C. intestinalis* species and  
300 develop best at lower rearing temperatures, possibly due to an adaptation to the lower water  
301 temperatures in the Norwegian Fjords. We tested whether the lower rearing temperature of 14°C  
302 affected the onset of the light-off response that has previously been described by Nakagawa et  
303 al.(28). The increase in swimming speed immediately after a light stimulus is considered a hallmark of  
304 the older larva that will in its later age seek to settle utilising negative phototaxis. In animals reared



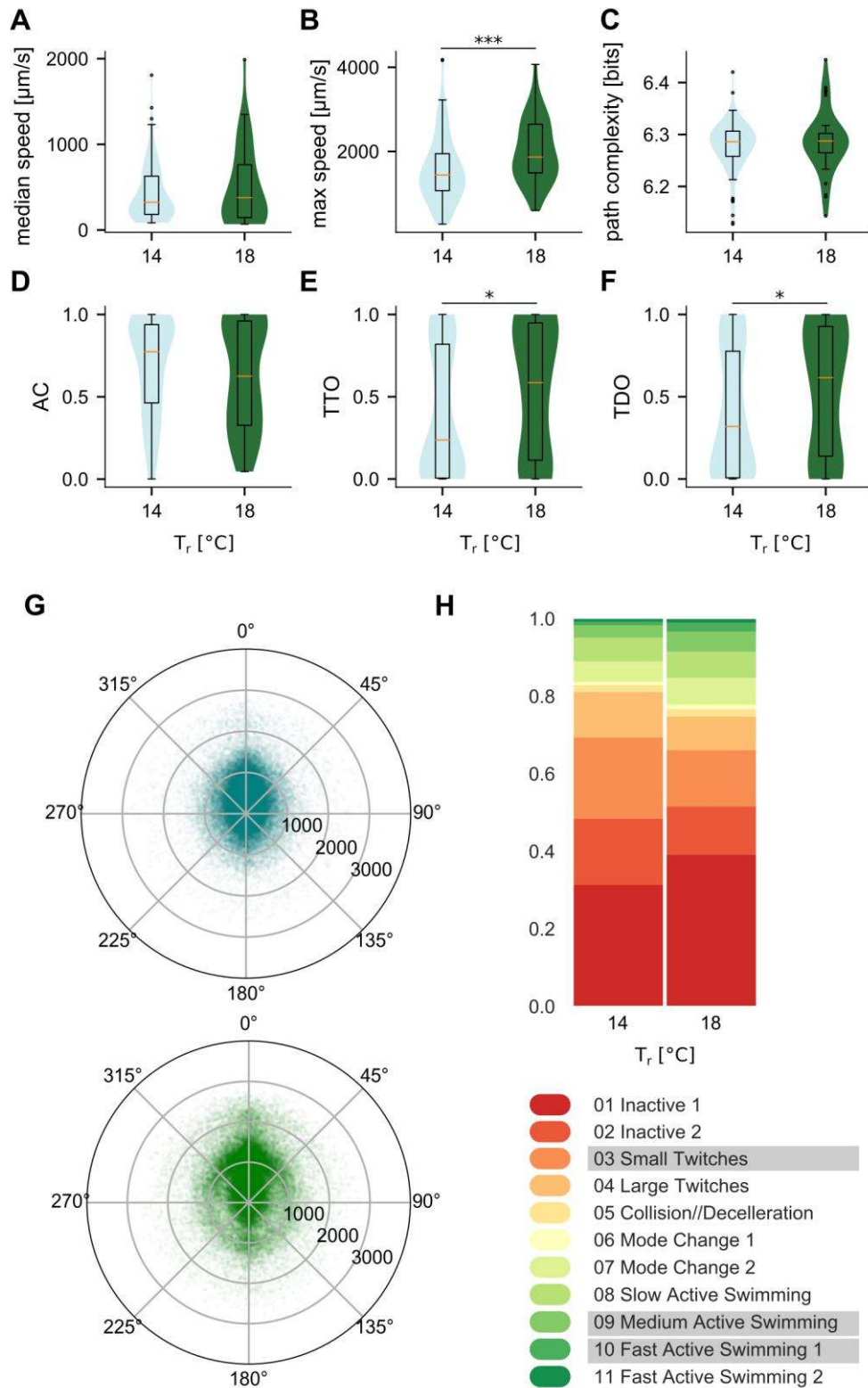
**Fig 5. Light-Off response in animals reared and recorded at 14°C**

(A) Experimental set-up. Light stimulus lasted 1 minute and the average speed of the animal in the last 10 s of the stimulation (L) was compared to the expected peak of speed upon onset of darkness (D) measured as average speed over 2.5 s starting from 0.5 s after light-off (B) Change in speed between L and D for different aged animals. (C) Distribution of behavioural modes in the last 10 s during a 1 min light stimulus – L and the onset of darkness – D for different aged animals ( $N_2=11$ ,  $N_4=7$ ,  $N_6=8$ )

305 at 18°C, the first notable response to a light-off signal was detected at 4 h post fertilisation,  
306 coinciding with a reduced average speed of the animals in absence of the stimulus.  
307 The sensitivity of this response has been shown to peak in the green part of the spectrum (28), so we  
308 tested for light-off response in our animals using a green light (515-530 nm). We calculated the  
309 change of speed (i.e.  $\Delta$  speed (Off), Fig 5B) between the last 10 s during a 1 min light stimulus and the  
310 first 2.5 s after the light was turned off (after a 0.5 s latency period). In Fig 5C we present the  
311 distribution of the different behavioural modes for the same periods at the end of the light stimulus  
312 (L) compared to the onset of the dark (D) for animals at three different post-hatching ages. At 2 and  
313 even 4 h post hatching the animals reared at 14°C did not show a significant light-off reaction, with  
314 the first notable response observed only at 6 h. The response was notable both as a slight general  
315 increase in speed (Fig 5B) and more specifically as higher representation of twitching and medium  
316 speed swimming at the expense of inactive modes (Fig 5C). It appears that *C. intestinalis* has the  
317 potential to be a great genetically tractable model to answer the question of developmental stability  
318 of behaviour.

### 319 **Rearing temperature effects on behaviour**

320 Animals reared at 18°C had a much narrower time window after hatching in which we could observe  
321 active swimming behaviour, with the majority of animals being highly inactive by age 4 h post  
322 hatching (data in S2C). We therefore only compared animals of age 0-3 h reared at 18°C to the 14°C  
323 reared animals of ages 2-8 h, since we assumed they correspond to the same post hatching  
324 development stages. Even when comparing what we assumed to be animals at a similar  
325 developmental stage, animals reared and recorded at 18°C still exhibited some differences compared  
326 to the ones at 14°C (Fig 6). Their traces were similar in median speed values (Fig 6A) but reach  
327 significantly higher maximum speeds (Fig 6B, median at 1864  $\mu\text{m/s}$  for animals reared at 18°C vs.  
328 1440  $\mu\text{m/s}$  for animals reared at 14°C,  $p > 0.001$ ). The difference in AC and path complexity is not  
329 statistically significant (Fig 6 C, D), but there was a slight but significant effect on thigmotaxis (Fig 6E,



**Fig 6. Effect of rearing temperature on behaviour**

Rearing temperature effects on (A) median speed, (B) maximum speed, path complexity (C), activity coefficient (D) and thigmotaxis measures (E) TTO and (F) TDO. (G) Polar scatterplots of filtered speed values vs turn values for animals reared and recorded at 14°C (in teal) and at 18°C (in green). (H) Distribution of behavioural modes for the two groups. The modes underlined in the legend are the biggest contributors to the  $\chi^2$  statistics (data in S1 Table) ( $N(14^{\circ}\text{C}) = 101$ ,  $N(18^{\circ}\text{C}) = 36$ ; number of points per polar plot is 100000)

330 F). The median TTO for animals reared at 18°C was 0.585 vs 0.237 at 14°C (Fig 6E,  $p = 0.035$ ) and the  
331 median TDO at 18°C was 0.616 vs 0.319 at 14°C (Fig 6F,  $p = 0.038$ ). At 18°C we also observed a higher  
332 representation of medium-high speeds (around 1000-1500  $\mu\text{m/s}$ ) in combination with a wider range  
333 of turn values, while at lower speeds the variability of turns was smaller (Fig 6G). This was matched  
334 with a lower representation of twitching modes and more occurrences of the modes representing  
335 swimming at medium speeds (Fig 6H).

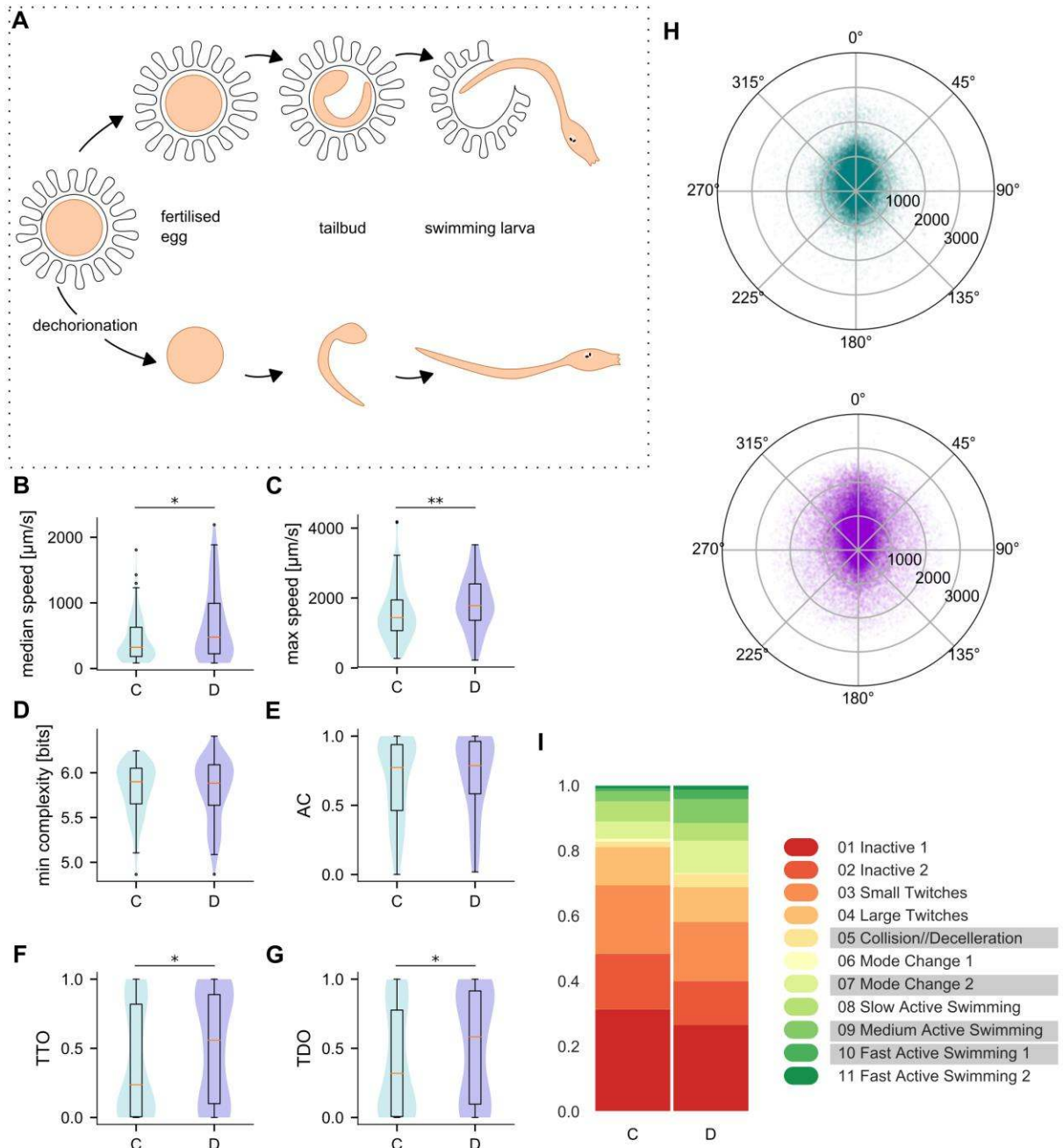
### 336 **Dechoriation effects**

337 The eggs of *C. intestinalis* are nested in a chorion surrounded by follicle cells (Fig 7A) and the normal  
338 development of left-right asymmetry in the embryo has been shown to be disrupted by  
339 dechoriation (94). However, transient transgenesis of *C. intestinalis* is predominantly achieved by  
340 electroporations, which do require the dechoriation of eggs. We therefore set out to test if  
341 dechoriation has specific effects on behaviour. Being aware of any potential effects of  
342 dechoriation will be vital for the future interpretation of behavioural phenotypes in transgenic  
343 animals. The dechorionated larvae achieved a higher median (Fig 7B, 477  $\mu\text{m/s}$  median vs 324  $\mu\text{m/s}$   
344 in chorionated animals,  $p=0.0125$ ) and maximum speeds (Fig 7C, 1784  $\mu\text{m/s}$  vs 1440  $\mu\text{m/s}$  for  
345 chorionated animals,  $p=0.0024$ ). The differences in AC and path complexities were not statistically  
346 significant in our set (Fig 7 D, E). There was however a slight but significant effect on thigmotaxis (Fig  
347 7 F, G), resulting in a higher median TTO (0.56 vs 0.23 for chorionated animals,  $p= 0.035$ ) and TDO  
348 (0.58 vs 0.32 for chorionated animals,  $p= 0.033$ ) values for dechorionated animals. The differences in  
349 distribution of turns and speeds were less apparent compared to the effect of temperature, but the  
350 increased representation of higher swimming speeds in dechorionated animals was evident in the  
351 polar scatterplots (Fig 7H) as well as from the distribution of the behavioural modes (Fig 7I).

352

353

354



**Fig 7. Effect of dechoriation on behaviour**

(A) Schematic representation of the *C. intestinalis* embryo development inside the chorion (top) in untreated animals compared to dechoriation and subsequent development of a dechorionated embryo (bottom). We present dechoriation effects on (B) median speed, (C) maximum speed, path complexity (D), activity coefficient (E) and thigmotaxis measures f) TTO and (G) TDO. Chorionated animals are denoted as C, dechorionated as D. (H) Polar scatterplots of filtered speed values vs turn values for dechorionated (purple) animals compared to chorionated animals (teal). (I) Distribution of behavioural modes for the two groups. The modes underlined in the legend are the biggest contributors to the Chi<sup>2</sup> statistics (data in S1 Table) (N(C) = 101, N(D) = 74; number of points per polar plot is 100000)

### 355 **Thigmotaxis and effect of modafinil**

356 Finally, we attempted to influence thigmotaxis in our animals by exposing them to an anxiotropic  
357 drug modafinil. In this set of experiments, we compared two groups of animals swimming in 20 mg/l  
358 and 2 mg/l solution of modafinil respectively to a control group in DMSO and to the untreated WT  
359 set (Fig8, S4). We determined the dosage based on preliminary tests and previously published  
360 literature on modafinil effects on larval zebrafish (95). The effect of 20 mg/l modafinil on thigmotaxis  
361 was very pronounced and statistically significant (Fig 8E, F). While the median TTO value for the  
362 DMSO control was 0,427, it reached 0,669 in the 20 mg/l modafinil group signifying longer periods  
363 spent in the outer zone of the arena ( $p=0.0015$ ). Similarly, the TDO measure shows the modafinil-  
364 affected animals travelled much bigger proportions of their total distance in the outer zone of the  
365 arena (median TDO at 0.628 in the 20mg/l modafinil group compared to the DMSO control at 0.395,  
366  $p<0.001$ ). For the 2 mg/l group the distribution for both thigmotactic measures was similar to the WT  
367 state and was not statistically different from either of the controls.

368 The animals affected by modafinil also exhibited an overall more active set of behaviours with much  
369 higher representation of the active-swimming modes and less time spent inactively (Fig 8G, H). This  
370 resulted in increased median and maximum speeds (Fig 8A, B) and higher AC values (Fig 8D) for  
371 animals in 20 mg/l modafinil. Path complexity (Fig 8C) showed fewer differences with the only  
372 significant change being the higher minimal complexity values for 20 mg/l group (5.99 compared to  
373 5.85 in DMSO and 5.78 in 2mg/l).

374

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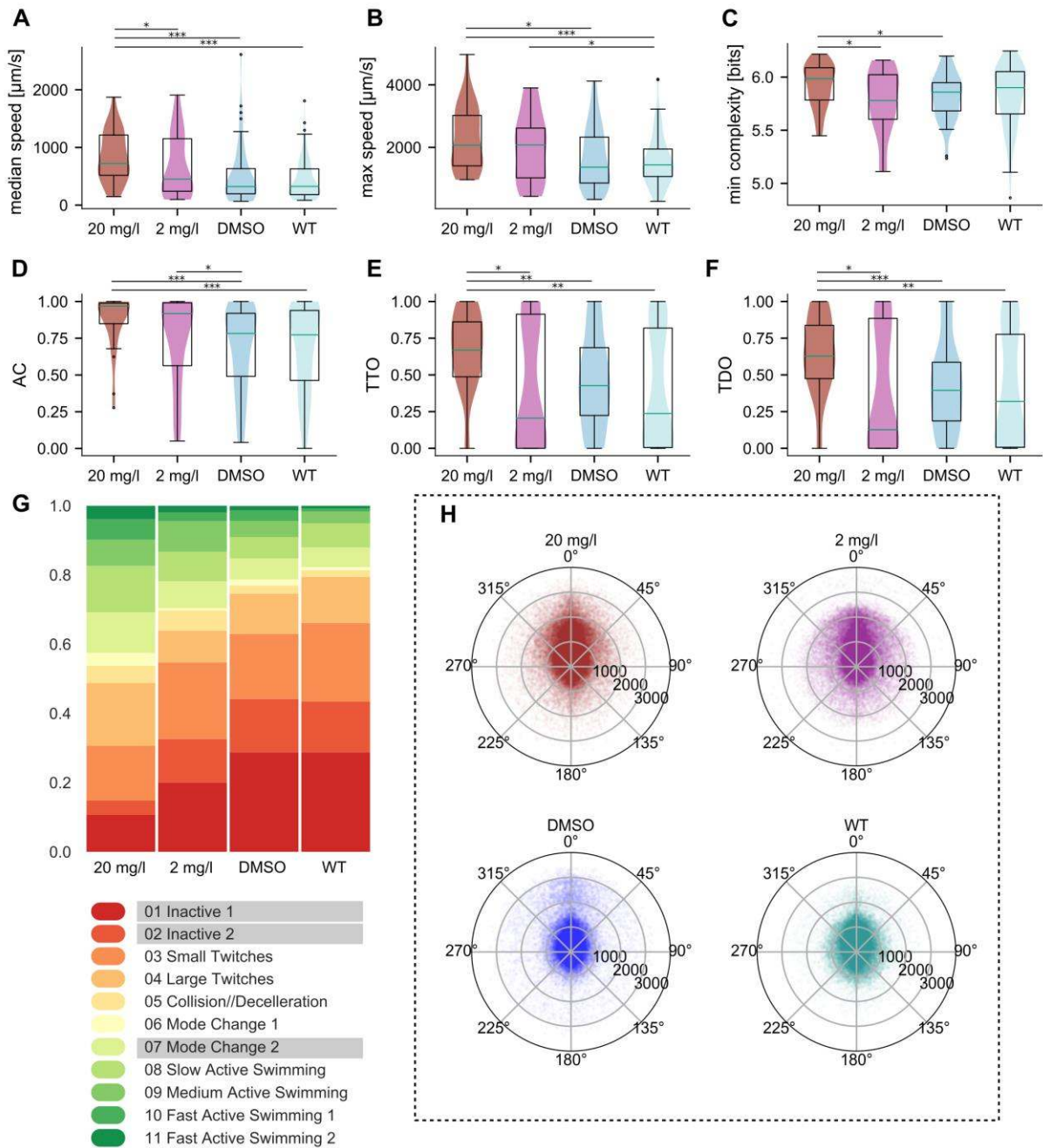
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**Fig 8. Effect of modafinil on behaviour**

Modafinil effects on (A) median speed, (B) maximum speed, (C) minimal path complexity, (D) activity coefficient and thigmotaxis measures (E) TTO and (F) TDO. The two groups affected by 20 mg/l and 2 mg/l modafinil are plotted in dark red and purple respectively, the control group in 0.1% DMSO in blue and wild type animals in light teal. (G) Distribution of behavioural modes for the groups. (H) Polar scatterplots of filtered speed values vs turn values the different groups. (N(20mg/l) = 28, N(2mg/l) = 27, N(DMSO) = 48, N(WT) = 101; number of points per polar plot is 100000)

381 **Discussion**

382 The chordate *Ciona intestinalis* in its larval form is emerging as a promising organism for  
383 neuroethological studies. The present study provides a quantitative description of larval behaviour in  
384 different contexts, using biologically relevant features. We performed unsupervised clustering of our  
385 data and identify clusters, with which we generate a behavioural ontology. We uncovered some of  
386 the behavioural effects of post-embryonic development and dechorationation on larval behaviour and  
387 pinpoint the behavioural consequences of crowd size and sensory arousal. Furthermore, we provide  
388 evidence that *C. intestinalis* larvae exhibit thigmotactic behaviour that can be modulated by the drug  
389 modafinil.

390 **Quantitative description of *Ciona intestinalis* larval behaviour**

391 The potential of the *C. intestinalis* larva as an organism to perform neuroethological studies has been  
392 noticed for several decades. There have been several efforts to perform behavioural studies with  
393 increasing sophistication over the years (26-33). However, automated quantitative analysis of *C.*  
394 *intestinalis* behaviour had been hindered by the lack of suitable open-source software with the ability  
395 to follow the larvae providing precise positional information over long time series, with few or no  
396 identity switches in case multiple animals are tracked simultaneously. In this study, we identified  
397 Toxtrac (43) as a suitable open-sourced tracking software, we built customizable hardware and  
398 developed an automated behaviour analysis pipeline for *C. intestinalis* larvae.

399 Our analysis suggests that *C. intestinalis* larvae show a surprising amount of complexity in their  
400 spontaneous swimming behaviour. Notably, the larvae exhibited a large range of swimming patterns  
401 showing significant variation in path complexity (Fig 1F), and individuals used a broad range of  
402 behavioural mode sequences (e.g. Fig 3F). The advent of tracking methods has revealed the presence  
403 of multiple characteristic scales of organisation in single and multiple animal traces that can be  
404 explained only if we consider theoretical frameworks for mobility that extend beyond simple  
405 diffusion mechanisms (96, 97). In the future it would be interesting to study the temporal structure  
406 of spontaneous swimming in *C. intestinalis* larva in greater detail. Intriguing topics to investigate are

407 for example whether some of the swimming patterns of *C. intestinalis* exhibit a Lévy-like  
408 behaviour(49, 98) and whether they may play a role in a dispersal strategy(99, 100) . In accordance  
409 with our expectations, external sensory cues seemed to influence the swimming strategy of the  
410 larvae. Interestingly, path complexity appeared to be modulated in opposite ways by sensory arousal  
411 and crowd size (Fig 9).

412 The sensitivity of our measurements allowed us to quantify the activity levels of the animals in  
413 different experimental contexts. We found that larvae showed both bursts of activity and bouts in  
414 irregular intervals. Intermittent locomotion(101) is one of the various morphological (102)and  
415 behavioural strategies(103, 104), often under evolutionary pressure(105), that have been  
416 implemented by moving organisms, including marine larvae in order to conserve energy(106). An  
417 alternative hypothesis is that fluctuations in activity levels may reflect an adjustment of motility to  
418 small changes in flow taking place in the arena. Similar bouts and bursts of activity characterise  
419 zooplankton motility in turbulent flow(107).

420 Quantitative behavioural analysis has been moving from subjective observation, and imprecise  
421 annotation of behavioural data, towards the automated recognition and classification of behaviours  
422 amongst very large data sets (2, 4, 7, 108). Some of the breakthroughs that have permitted this  
423 progress have focused on generating low-level representations of behaviour for automated analysis  
424 and automated classification algorithms of behaviours. The ultimate goal is to break down complex  
425 behaviours into their constituent building blocks. In this study, we employed a clustering  
426 methodology, using first unsupervised clustering of a minimal feature-set to identify behavioural  
427 modes, followed by training a K-Nearest-Neighbours classifier in order to classify all of our data  
428 corresponding to approximately 1.8 million observations from 850 data-frames. We found 11 distinct  
429 clusters that were classified in an equal number of basic behavioural modes. These 11 behavioural  
430 modes provide an unbiased way to dissect the structure of behaviour and will allow us to  
431 systematically classify complex behavioural phenotypes that result from pharmacological, genetic or  
432 optogenetic perturbations (109-112). However, our current automated image based tracking

433 approach is relying on marking each animal with a centroid rather than segmenting out the entire  
434 shape of the animal in order to generate an outline or a skeleton. We therefore are lacking postural  
435 information that would enrich our dataset significantly. This presents an important next step towards  
436 obtaining a complete ethogram of *C. intestinalis* larval behaviour. We note however, that clustering  
437 data points from centroid analysis already allowed us to describe a number of behavioural modes  
438 accurately. Furthermore, we are testing our animals in an open field arena that is suitable for  
439 recording a relatively small number of animals, possibly in a setting that is relatively distant to the  
440 natural ecological niche of the larvae. This problem is faced by numerous experimenters who are  
441 trying to obtain high quality tracking data in a controlled environment(5). We envision that in the  
442 future the use of larger arenas and the ability to deliver multiple sensory stimuli reaping the benefits  
443 of the open architecture of the behavioural setup, will allow us to study other ecologically relevant  
444 behaviours such as settlement behaviour and metamorphosis more closely.

445

#### 446 **Arousal from transfer to new environment**

447 Animals have the ability to modulate their readiness to react to sensory cues, in a phenomenon  
448 known as arousal. This modulation is very obvious when comparing the states of sleep and  
449 wakefulness. In addition, during the awake period, animals are able to enter short-term behavioural  
450 states, during which they exhibit heightened activity and general or specific sensory stimulus  
451 responsiveness and thus are able to anticipate and address sudden challenges (113). Here we report  
452 that *C. intestinalis* larvae are in a state of arousal during the first minutes of being placed in the arena  
453 (Fig 2, 9). Generalised arousal is thought to be widespread across vertebrates (59, 60) but the  
454 detailed neuronal and molecular mechanisms are still poorly understood. In fact, amongst  
455 invertebrates, there is evidence that sensory arousal is present in *Aplysia*(114) and in ecdysozoans,  
456 like the nematode worm *C. elegans* (62, 115) and *Drosophila melanogaster*(116, 117). In the case of  
457 *C. elegans*, sensory circuits involved in sensing high threshold mechanical and noxious stimuli are  
458 implicated in the heightened state of arousal. Given that the arousal exhibited by the *C. intestinalis*

459 larvae is likely due to mechanical stimulation from transfer to the arena it would be interesting to  
460 study the contribution of mechanosensory circuits to this behavioural state. In the case of  
461 *Drosophila*, acute sensory arousal is more apparent when comparing between states of wakefulness  
462 and sleep(118). A first clue as to which circuits might be involved comes from the observation that  
463 arousal in *C. intestinalis* is modulated by the drug modafinil (Fig.9). Modafinil has been classified as a  
464 psychostimulant and has been extensively used in narcoleptic patients in order to address sleep  
465 related disorders. It is thought to act as a selective dopamine (119) and norepinephrine transporter  
466 inhibitor (120), thus raising the possibility that monoamine signalling plays an important role in  
467 modulate the arousal state in *C. intestinalis* larvae. In this study we show that beyond modulating the  
468 arousal state of the animals, modafinil appears to alter the activity coefficient. The larvae also  
469 showed less quiescent periods with a higher activity coefficient. This has also been observed in mice  
470 and zebrafish. In mice, modafinil results in wake-promoting action, possibly via dopamine  
471 transporters(121), while in zebrafish modafinil shortens the periods of sleep(122).

472

### 473 **Age related changes**

474 A large number of animals exhibit behavioural changes linked to post-embryonic development of  
475 their nervous system. For example, in *Xenopus laevis*, locomotor activity patterns are modified as the  
476 animals transition from sessile hatchlings to free-swimming larvae(76), through changes in the cell  
477 properties of neurons(123) and a nitrogen oxide signalling mechanism (75). Other examples of post-  
478 embryonic changes in behaviour include the photoresponses of the stick insect *Carausius morosus*  
479 (77) and the chemotactic responses in *C. elegans*(124). *C. intestinalis* larvae are also subject to post-  
480 embryonic developmental changes and associated behavioural modifications. It has previously been  
481 reported that light and gravity dependent behaviours change during the larval life (26, 31). Here we  
482 quantified how progression through larval life changes behaviour of the animals, and found age  
483 dependent differences in the distribution of behavioural modes (Fig 4). This puts a quantitative angle  
484 to previously reported findings in the literature(30). What may be the mechanisms that bring about

485 these changes in behavioural modes used in larvae of different ages? One possibility is that some  
486 neurons of the larval system fully differentiate and connect to the nervous system only after  
487 hatching. Indeed, there has been evidence for post embryonic terminal differentiation of  
488 dopaminergic cells in *C. intestinalis* larvae (125). The authors of the study postulated that dopamine  
489 might modulate the neural circuits involved in the age-dependent changes in swimming behaviour of  
490 the larva. The genetically tractable *C. intestinalis* is proving to be a powerful model for providing in-  
491 depth insight into developmental processes in the post-embryonic nervous system and the functional  
492 basis of locomotor dynamics changes throughout larval life. Notably, the use of a fast-growing larval  
493 animal with associated changes in body proportions and shape, provides us with the opportunity to  
494 understand how alterations in biometrics and sensory capacity may relate to simultaneous changes  
495 in locomotory behaviour.

496

#### 497 **Crowd size effects on larval behaviour**

498 Many behaviours, such as mating, shoaling, schooling, aggressive encounters rely on the interaction  
499 of two or more individuals. These interactions largely depend on achieving a coordinated movement  
500 between individuals and the entire group(126).

501 Previous work in *C. intestinalis* has shown that larvae can aggregate into a column when placed in a  
502 three dimensional chamber and that they can form swarms, especially upon agitation of the  
503 water(29). Also it has been shown that larval distribution can change in the presence or absence of  
504 light(30). Notably, it has been reported that ascidian behaviours prior to settlement are largely  
505 influenced by conspecifics, while the larvae exhibit a form gregariousness(35, 127). However, these  
506 are largely qualitative observations that were made in the course of experiments that were not  
507 designed to specifically address the interactions between conspecifics. Further difficulties for  
508 providing a quantitative description of larval interactions stem from the lack (until recently) of  
509 automated tracking software that faithfully maintained the identity of each tracked animal(5). Taking  
510 advantage of the ability of ToxTrac to maintain the identity of multiple animals in the same arena we

511 attempted to determine whether there are differences when single vs multiple individuals are placed  
512 in the arena. We find that the presence of two or three animals in the arena can already result in a  
513 few changes in the measured behavioural parameters (Fig 9). Notably, a significant change in one of  
514 the thigmotactic indices is also observed (Fig 3D). Interestingly, an enhancement of thigmotaxis in  
515 individual versus group context has been observed in the case of ants(128). Given the past literature  
516 it will be interesting to determine if and how *C. intestinalis* larvae achieve coordinated movement. It  
517 is believed that the type of distributed sensing required to generate robust collective behaviour is  
518 rather simple, requires rudimentary circuits and thus it may be widespread across different animal  
519 taxa(129). *C. intestinalis* has a small nervous system and thus is ideal to study the neural circuits  
520 controlling pairwise and group-level behaviours. We note that a limitation of our method is that our  
521 crowd size experiments were conducted in an extremely small volume of sea water compared to the  
522 ethologically relevant volumes that these animals would encounter in the sea. Future experiments  
523 should be conducted in larger arenas that may be ethologically more relevant for crowd size  
524 experiments.

525

#### 526 **Behavioural robustness to altered rearing conditions**

527 Temperature is a known modulator of key physiological processes and behaviours in numerous  
528 animals(130). For example, thermal rearing conditions can affect the dispersal of adult spiders(131),  
529 the host-seeking behaviour of parasitic nematodes(132), mating behaviour in *Drosophila*(133), and  
530 the feeding behaviour in the mud snail *Heleobla australis*(134). To contextualise our data we  
531 compared our main wild type group reared and recorded at 14°C to animals at 18°C, since behaviour  
532 of *C. intestinalis* larvae has previously often been studied at 18°C e.g. (27, 31, 32) or even at room  
533 temperature (29) . We describe the distinct difference in the speed of post hatching development at  
534 different rearing temperatures (Fig 4, S2 ) At 18°C the period of higher spontaneous locomotor  
535 activity coinciding with the lack of significant responses to light-off stimuli lasts for ca. 4 h post  
536 hatching (28). In animals at 14°C we can detect the first significant, yet still weak, responses to the

537 light-off signal at 6 h post hatching (Fig 5), coinciding approximately with the period of higher  
538 locomotor activity in animals up to 8 h post hatching. Apart from this clear influence in the rate of  
539 post hatching development, the higher temperature seemed to have little effect on the animals'  
540 behaviour, but we do note the higher representation of medium-high speeds in combination with a  
541 wider range of turn values (Fig 6). It may be the case that rearing temperature has no strong  
542 behavioural defects in *Ciona intestinalis* larvae, possibly through a buffering mechanism.  
543 Alternatively, we may have not identified the behaviours and sensory modalities affected by rearing  
544 temperature. This is a plausible explanation in light of significant evidence suggesting that not all  
545 sensory modalities are affected equally by the rearing temperature or from deviations from that,  
546 since olfaction appears to be particularly strongly affected (135-139) compared to other sensory  
547 modalities in other organisms.

548 Yet another treatment that can challenge the behavioural robustness of *Ciona intestinalis* larvae is  
549 the enzymatic removal of the chorion that envelopes the eggs, a process termed dechoriation.  
550 This enzymatic treatment is an essential step in the generation of transgenics via electroporation.  
551 However, it can potentially interfere with the establishment of brain asymmetry in the ascidian brain,  
552 which is dependent on an intact chorion(140). Given that left-right asymmetries in behaviour and in  
553 nervous system structure are abundant phenomena across different animal taxa(141), it was of  
554 paramount importance to understand, in the first instance, the effects of dechoriation to  
555 spontaneous larval swimming. The behavioural comparison of chorionated versus dechorionated  
556 animals revealed differences in speed and thigmotaxis. Unexpectedly, dechorionated animals swam  
557 faster and showed higher thigmotaxis levels (Fig 10D). These observations suggest that future  
558 quantitative behavioural studies making use of electroporated transgenic animals need to use  
559 dechorionated animals for 'wild-type' controls rather than larvae hatched from chorionated eggs.  
560 Nonetheless, it is encouraging to see that dechorionated larvae are in many behavioural parameters  
561 indistinguishable from chorionated egg derived larvae.

562

### 563 **Thigmotaxis and modafinil effects**

564 Using an open-arena to monitor our animals, we noticed that a large fraction of larvae exhibited  
565 strong thigmotactic behaviour. This appears to be an adaptive behaviour that has been observed in  
566 numerous organisms, where the circular wall of the arena allows the animals to exhibit a defensive  
567 response (i.e. to hide from potential predators) and facilitates their orientation in space(142).  
568 Therefore, it is not unlikely that thigmotaxis presents an evolutionarily conserved behaviour. One  
569 may wonder what role thigmotaxis plays in the larvae of *C. intestinalis*. In fact, almost thirty years  
570 ago it was hypothesised that thigmotaxis, amongst other behaviours, may be involved in the  
571 selection of habitats for larval settlement(35). Interestingly, we have been able to demonstrate that  
572 physiological (rearing temperature) and morphological changes (dechoriation) can affect the  
573 animal's ability to perform thigmotaxis. Moreover, we found that modafinil increased thigmotaxis  
574 levels in *C. intestinalis* larvae. This is interesting in light of the fact that the effects of modafinil  
575 treatment in both humans and other animals has shown variable effects. In some cases, it acts as an  
576 anxiogenic drug like in one study in humans (143)and in others as an anxiolytic drug such as in  
577 marmosets(144). Notably, one study showed that modafinil increased the exploratory behaviour of  
578 mice in a dose dependent manner (145). It has also been shown that Modafinil can reduce  
579 thigmotaxis levels in zebrafish, (95). The strong effect that the anxiotropic drug modafinil has on *C.*  
580 *intestinalis* larval thigmotaxis is evidence that a common mechanism might mediate thigmotaxis  
581 across taxa(63, 95, 146). Future work should explore the molecular and cellular underpinnings of  
582 thigmotactic behaviour in *C. intestinalis* larvae and aim to understand the ecological context in which  
583 it may be used.

584

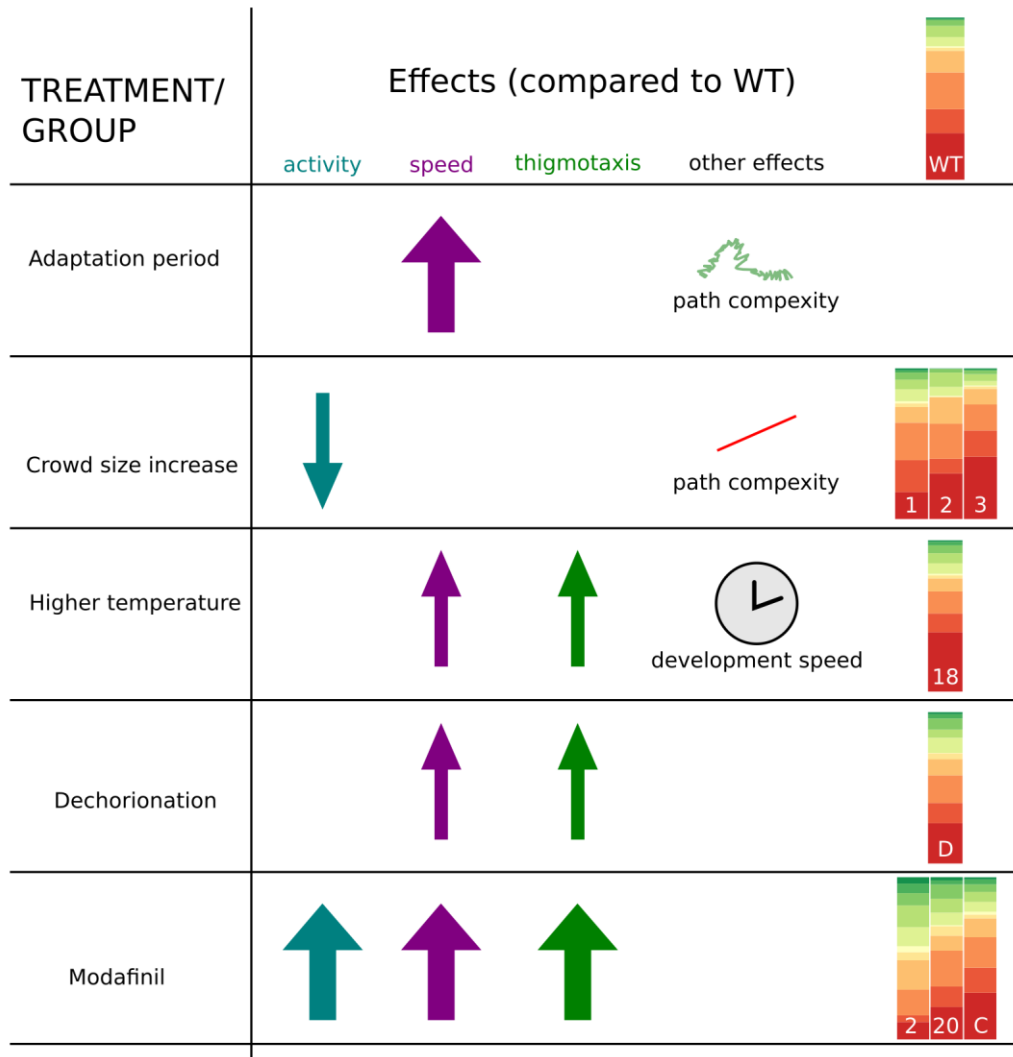
### 585 ***Ciona intestinalis* as a neuroethological model**

586 For years, cutting edge functional imaging and automated behavioural analysis was the privilege of a  
587 few model organisms. However, there has been a turn of tide in recent years. For one, the  
588 understanding that comparative studies are very important in order to draw conclusions on the

589 generality of biological phenomena and mechanisms, drew the attention to non-model organisms. At  
590 the same time, functional imaging techniques and molecular toolkits started to become highly  
591 adaptable, in order to meet the requirements of previously intractable nervous systems(147). The  
592 same trend was observed with open-source machine vision and machine learning tools that could be  
593 used to automatically track a large variety of organisms with different shapes and behavioural  
594 repertoire (16, 18, 148). The larval form of *C. intestinalis* fulfils several criteria that would allow it to  
595 ride this wave of change in neuroethology.

596 Phylogenetically, *Ciona intestinalis* is positioned at a key evolutionary node, as a member of the sister  
597 group of vertebrates(149). Its compact nervous system showcases numerous developmental  
598 mechanisms and gene regulatory networks common to all chordates, including vertebrates(150). The  
599 complete mapping of the larval wiring diagram using Electron Microscopy (22-24) allows for  
600 structural framework upon which to generate testable hypotheses. Most importantly the genetic and  
601 genomic toolkit (41, 151) together with the ease of transgenesis and the transparency of the larva  
602 make this organism particularly amenable to optogenetics and functional imaging. Notably, the  
603 Genetically Encoded Calcium Indicator (GECI) GCaMP6s, has already been used in *C. intestinalis* to  
604 study developmental calcium dynamics (152-154). The adoption of GECIs in *C. intestinalis* combined  
605 with our approach of automated behaviour recognition and analysis have allowed us to  
606 systematically dissect the larval behavioural repertoire and image the entire nervous system in vivo,  
607 with the ultimate aim to elucidate the neural networks underlying behaviour. With our approach, we  
608 were able to show that we can quantify larval behaviours automatically and identify novel  
609 behaviours (thigmotaxis) and behavioural states (arousal). This approach also allowed us to  
610 investigate the robustness of the behavioural repertoire under diverse environmental,  
611 developmental and pharmacological conditions. Future work, includes obtaining a more detailed  
612 mechanistic understanding of the stimulus driven behaviours, social interactions and learning  
613 paradigms.

614



### Fig 9. Summary

During the adaptation period (A) *C. intestinalis* larvae exhibited sensory arousal, which translated to higher speeds and increased path complexity. The presence of conspecifics in the arena (B), resulted in reduced locomotor activity, reduced path complexity and a change in the distribution of behavioural modes. We tested the robustness of behaviour in the context of rearing temperature (C) and dechoriation (D) treatments. Finally, the anxiotropic drug Modafinil (E) was able to modulate thigmotaxis, arousal and the overall state of animal activity, by changing the distribution of the behavioural modes.

615 **Methods**

616 **Animals**

617 Adult *Ciona intestinalis* were collected locally from the Bergen area and Sotra Island, Norway. We  
618 incubated them in filtered seawater at 10°C under constant illumination to stimulate egg production.  
619 Eggs and sperm were obtained from individual animals to perform in vitro fertilisation. Part of the  
620 eggs were dechorionated using Na-Thioglycolate and mechanical dechorionation(155). Both eggs  
621 with and without chorion were fertilized at the same time and incubated in artificial sea water (ASW,  
622 Red Sea Salt) at either 14 or 18 °C. The post hatching age of animals is referred to relative to the  
623 onset of hatching of larvae from the chorion.

624

625 **Set-up for behavioural experiments**

626 Animal behaviour was recorded in a custom-made setup developed in our lab (Fig 1A). Using a 3D-  
627 printed PLA mould, we made single-use agarose arenas (0.8% in ASW, by Invitrogen, USA,). The arena  
628 was nested inside a PLA ring with infrared (IR, peak emission 850 nm) LEDs, which provided dark-field  
629 illumination of the animals without stimulating their photoreceptors. The ring also held a small  
630 thermometer (DS18B20, Maxim Integrated) positioned close to the arena and was placed on top a  
631 Peltier element with a thin layer of ASW underneath the agarose to improve heat conduction and  
632 image quality. Light stimulation was performed using LED illumination (green LED in NeoPixel LED  
633 array; emission 515-530nm) and an IR filter (cut-of at 780 nm) positioned in front of the camera.  
634 Videos were recorded using an IR sensitive monochrome camera (DMK 33UP1300, The Imaging  
635 Source, Germany) and IC Capture software. An Arduino based circuit, interfacing with a GUI written  
636 in Python, provided stimuli and PID-controlled temperature control.

637

638 **Recordings**

639 1-3 animals were placed in an agarose arena (10mm in diameter and 3mm high, approximate volume  
640 236 mm<sup>3</sup>). Each animal in behavioural experiments was first filmed for a period of 15 minutes during

641 acclimatization to the arena (at 10 frames/s). Subsequently 1 to 3 videos of 5 min duration were  
642 filmed at 30 frames/s to analyse either base line behaviour or effect of stimulation, rearing  
643 temperature or added drugs on behaviour. For modafinil experiments the animals were first  
644 transferred to a dish containing DMSO or modafinil and then immediately transferred to the arena  
645 which also contained DMSO or modafinil (2 or 20 mg/l) as schematically presented in Fig 8.

646

#### 647 **Video conversion and analysis**

648 Videos were analysed using ToxTrac software and custom-made software using OpenCV and python  
649 environment (Fig 1C). For each video all frames were enhanced with Contrast Limited Adaptive  
650 Histogram Equalization (CLAHE) with a clip limit of 1 and a tile grid size of 50x50 pixels. After  
651 histogram equalization noise was reduced with a median blur with a tile grid size of 5x5 pixels. To  
652 input bright-background videos into the ToxTrac software, all frames were inverted by subtracting  
653 from a true white frame of equal size. Within the ToxTrac software, the ID algorithm used in our  
654 study was 2TCM sel. by Hist (MEE).

655

#### 656 **Data analysis and statistics**

657 All data analysis was performed with python using the numpy, pandas, scipy, scikit-learn and  
658 matplotlib libraries. For every analysed video the position of the centre of the arena is determined  
659 with a Hough Circle Transform algorithm in OpenCV in python. For every trace the [x,y]-positions are  
660 corrected so that [0,0] was at the centre of the arena. All positions were then multiplied by the factor  
661 of 11.56 $\mu$ m/pixel for the setup the recording originates from. From these positions distances, speeds  
662 and subsequently all other parameters are derived. We excluded animals that were completely  
663 immobile and hence indistinguishable from dead form further analysis by excluding all traces where  
664 the maximal displacement from the starting position was less than one body-length (comprising  
665 approximately 10% of all examined traces). Similarly, traces where the animal was tracked for less  
666 than 2000 frames were considered unrepresentative and excluded from further analysis.

667 The tracked centre-point corresponded predominantly to the animal's head. To filter out noise  
668 caused by the undulatory movement of the head during swimming the speed sequence values were  
669 filtered with a 1 Hz low-pass filter. All speed values presented are therefore filtered speeds and turn  
670 values refer to values calculated between coordinates 5 time-points apart for the same reason.  
671 Whenever statistical significance was tested we used nonparametric test for all non-normally  
672 distributed data, namely Levene's test for equality of variances, Kruskal-Wallis analysis of variance  
673 and Mann-Whitney U test. To compare the similarity of distributions in the scatterplots of speed vs  
674 turn values presented in this paper we compare the sorted Mahalanobis distances of these  
675 distributions (data in S3). Where relevant,  $\chi^2$  statistic was calculated for behavioural modes  
676 distributions and the greatest contributor underlined in the figure legend (see Supplemental material  
677 for values).

678

### 679 **Clustering of behavioural modes**

680 To identify objective behavioural modes we attempted unsupervised clustering of a minimal feature-  
681 set that describes the behaviour of the larvae. The featureset was created as follows:

682 For each good recording the velocity vectors ( $\rho$ ,  $\phi$ ) were calculated from coordinates 5 frames apart.

683 Values  $\rho$ ,  $\Delta\rho$  and  $\Delta\phi$  were used as measures for speed, acceleration and turns respectively. For each  
684 point the mean of a sliding window of [-25:+25] frames was used to include information of past and  
685 future movement. This results in a dataset of three features and ca. 1.8 million observations.

686 Clusters in the dataset were identified using an agglomerative clustering algorithm with ward-  
687 linkage. To determine the optimal number of clusters we identified the point where adding more  
688 clusters would not reduce the total distance of all points to their respective cluster centre drastically.

689

### 690 **Classifying**

691 Using the clustered dataset, we trained a K-Nearest-Neighbours classifier that takes a recording  
692 expressed in the features mentioned above, and assigns each point in this recording to a cluster. We

693 classified all collected traces with this classifier, and inspected the original videos with the assigned  
694 clusters superimposed in order to assess the biological relevance of each cluster. We found that  
695 apart from cluster 0, which turned out to be the result of an artefact from data where there was  
696 insufficient datapoints in the window for averaging, we could identify distinct behaviours for the  
697 remaining eleven clusters. Several of these clusters described the same biological behaviour but  
698 resulted in different clusters as a result of the tracking marker being placed in either the head or the  
699 neck of the animal by the tracking software (For an illustration of the speed and turn values present  
700 in the different clusters see data in S1).

701

## 702 **Data availability**

703 The designs for the behavioural setup components that were 3D printed can be found here:

704 <https://github.com/ChatzigeorgiouGroup/Rudolf-Dondorp-2018/tree/master/3d%20Cad%20files>

705 The dataset used for this study can be found here: <http://doi.org/10.5281/zenodo.1298978>

706 The analysis code is located in our GitHub repository:

707 <https://github.com/ChatzigeorgiouGroup/Rudolf-Dondorp-2018/tree/master/Code>

708

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713

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