

# ON PROJECTIVE CLASS GROUPS<sup>(1)</sup>

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**1. Introduction.** For any ring  $A$ , the notion of the projective class group  $C(A)$  was introduced in [12; 13]. It enjoys functorial properties with respect to ring homomorphisms, and can be described as a certain factor group of the Grothendieck group associated with the category of all finitely generated  $A$ -projective modules. This group appeared originally to measure the extent to which  $A$ -projective modules are not free. However, this group being closely related with the arithmetic of the ring  $A$ , it has its own interest. This note is to study the projective class group of certain algebras over a noetherian domain. Let  $R$  be a noetherian domain with quotient field  $K$ , and  $A$  an  $R$ -algebra, finitely generated as  $R$ -module. In this case, it is more effective to consider the reduced projective class group  $C_0(A)$ . This is obtained from the category of all finitely generated  $A$ -projective modules  $P$  such that  $K \otimes_R P$  is  $K \otimes_R A$ -free (see §3). If  $A$  is  $R$ -projective as an  $R$ -module then  $A \subset K \otimes_R A$ . If, furthermore,  $A$  has no nilpotent ideals, then  $K \otimes_R A$  is a simple  $K$ -algebra and hence we may consider a maximal order  $\Lambda$  of  $K \otimes_R A$  containing  $A$  (see §4). Since  $\Lambda$  has a relatively simple structure (for example, if  $R$  is a Dedekind domain, then  $\Lambda$  is a hereditary ring), a natural question is to ask for a relation between  $C_0(A)$  and  $C_0(\Lambda)$ . One main objective of this note is to prove Theorem 10, which states that  $C_0(A) \rightarrow C_0(\Lambda) \rightarrow 0$  is exact when  $\Lambda/\mathfrak{c}$  is Artinian and the Cartan matrix of  $\Lambda/\mathfrak{c}$  is nonsingular, where  $\mathfrak{c}$  is the conductor of  $\Lambda$  in  $A$ . To obtain this we generalize in §1 a theorem of J.-P. Serre to noncommutative algebras. The study of  $C_0(\Lambda)$  is trivially reduced to the case when  $\Lambda$  is a maximal order in a simple algebra. A maximal order in a simple algebra is closely related with that of a division algebra. Namely, if  $R$  is a Dedekind domain and  $\Lambda$  is a maximal order of a simple algebra over  $R$ , and  $\Gamma$  is a maximal order of a division algebra associated with  $\Lambda$ , then  $\mathfrak{M}(\Lambda) \approx \mathfrak{M}(\Gamma)$  as categories, where  $\mathfrak{M}(\Lambda)$  (or  $\mathfrak{M}(\Gamma)$ ) denotes the category of all finitely generated left  $\Lambda$ -modules (or  $\Gamma$ -modules). This categorial isomorphism preserves projective modules in both directions but does not preserve free modules in general. This causes a difficulty in deducing a relation on the projective class groups. This is studied in §3 where we prove that  $C_0(\Lambda) \approx C(\Gamma)$ . Another interesting result is Theorem 13 concerning the finiteness of the projective class group. Most of our theorems are valid for integral group algebra of finite groups and a result of R. G. Swan is deduced as a corollary.

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For the sake of simplicity, we make some conventions on terminology and notation. Throughout this note, unless otherwise stated, we mean: (1) ring = ring with unit element; (2) module = finitely generated unitary left module; (3)  $R$  = commutative noetherian domain and  $K$  = quotient field of  $R$ ; (4)  $R$ -algebra =  $R$ -algebra with unit element, which is finitely generated as  $R$ -module; and (5)  $\otimes = \otimes_R$ . The reader should keep in his mind these agreements throughout this note. (For example, when we say "all  $\Lambda$ -modules," then we mean "all finitely generated left  $\Lambda$ -modules".)

The author wishes to thank J.-P. Serre for his private letter [14], from which the present investigation originates. Thanks also goes to H. Bass for useful conversations.

**2. Modulo the conductor.** Let  $A \subset \Lambda$  be  $R$ -algebras. Then  $\Lambda$ , being finitely generated as an  $R$ -module, is so as a module over  $A$ . Now  $\mathfrak{c} = \{r \mid r \in R, r\Lambda \subset A\}$  is an ideal in  $R$  and, if we put  $\mathfrak{c} = \mathfrak{c}\Lambda = \Lambda\mathfrak{c}$ , then  $\mathfrak{c}$  is a two-sided ideal in  $A$  as well as in  $\Lambda$ . We shall call  $\mathfrak{c}$  the conductor of  $\Lambda$  in  $A$  in analogy with number theory. For the sake of simplicity, we shall use throughout this section the notations:  $R/\mathfrak{c} = \bar{R}$ ,  $A/\mathfrak{c} = \bar{A}$ ,  $\Lambda/\mathfrak{c} = \bar{\Lambda}$ . Then  $\bar{A} \subset \bar{\Lambda}$  and they are  $\bar{R}$ -algebras. Furthermore, for any  $A$ -module (or  $\Lambda$ -module)  $M$ , we put  $\bar{M} = \bar{A} \otimes_A M$  (or  $\bar{M} = \bar{\Lambda} \otimes_{\Lambda} M$ ).

Let  $P$  be an  $A$ -module and  $P'$  a  $\Lambda$ -module such that  $P \subset P'$ . Adopting J.-P. Serre's terminology we say that  $P$  is an  $A$ -form of  $P'$  if (i)  $P$  is  $A$ -projective and  $P'$  is  $\Lambda$ -projective and (ii) the map  $\Lambda \otimes_A P \rightarrow P'$  induced by  $P \subset P'$  is an isomorphism. If  $P$  is  $A$ -projective, then  $P' = \Lambda \otimes_A P$  is  $\Lambda$ -projective and thus, identifying  $P$  with its image under the canonical imbedding  $P \rightarrow \Lambda \otimes_A P = P'$ , we see that  $P$  is an  $A$ -form of  $P'$ . Conversely, given a  $\Lambda$ -projective module  $P'$ , what are the sub- $A$ -modules  $P$  of  $P'$  which are  $A$ -forms of  $P'$ ? A milder question asks whether every  $\Lambda$ -projective module comes from an  $A$ -projective module by tensoring with  $\Lambda$ . Theorem 1 below reduces these questions modulo the conductor  $\mathfrak{c}$ . This theorem was proved by J.-P. Serre when  $A, \Lambda$  are both commutative noetherian rings [13; 14]. Our theorem is a generalization of it to noncommutative algebras.

**THEOREM 1.** *With the notations as above, let  $P'$  be a  $\Lambda$ -projective module, and  $M$  an  $A$ -submodule contained in  $P'$ . Then the following statements are equivalent:*

- (i)  $M$  is an  $A$ -form of  $P'$ .
- (ii)  $M \supset \mathfrak{c}P'$  and  $\bar{M}$  is an  $\bar{A}$ -form of  $\bar{P}'$ .

Thus, if  $\phi: P' \rightarrow \bar{P}'$  is the natural map,  $\phi^{-1}$  puts the  $\bar{A}$ -forms of  $\bar{P}'$  in bijective correspondence with the  $A$ -forms of  $P'$ . In particular  $P'$  has an  $A$ -form if and only if  $\bar{P}'$  has an  $\bar{A}$ -form.

We shall reduce the proof of the above theorem to the case when  $R$  is a complete local ring. This procedure is accomplished by Proposition 3 below. The following lemma is known [2] and will be used in the proof of Proposition 3. We quote it below without proof.

LEMMA 2. *Let  $R$  be a noetherian ring and  $\Gamma$  an  $R$ -algebra which is left noetherian. Let  $S$  be an  $R$ -algebra (not necessarily finitely generated) which is a flat  $R$ -module. If  $C$  is a finitely generated  $\Gamma$ -module, then  $S \otimes_R \text{Ext}_\Gamma^n(C, D) \approx \text{Ext}_S^n \otimes_R \Gamma(S \otimes_R C, S \otimes_R D)$  for any  $\Gamma$ -module  $D$ .*

Now for each maximal ideal  $\mathfrak{p}$  of  $R$ , let  $\hat{R}_\mathfrak{p}$  = completion of  $R$  with respect to the maximal ideal  $\mathfrak{p}$  of  $R$ . For any  $R$ -module  $M$ , we write  $\hat{M}_\mathfrak{p} = \hat{R}_\mathfrak{p} \otimes_R M$ .

PROPOSITION 3. *Let  $P'$  be a  $\Lambda$ -module and  $P$  an  $A$ -module with  $P \subset P'$ . Then  $P$  is an  $A$ -form of  $P'$  if and only if  $\hat{P}_\mathfrak{p}$  is an  $\hat{A}_\mathfrak{p}$ -form of  $\hat{P}'_\mathfrak{p}$  for all maximal ideals  $\mathfrak{p}$  of  $R$ .*

**Proof.**  $P \subset P'$  induces  $\Lambda \otimes_A P \rightarrow P'$ . Taking its kernel  $X$  and cokernel  $Y$ ,  $0 \rightarrow X \rightarrow \Lambda \otimes_A P \rightarrow P' \rightarrow Y \rightarrow 0$  is exact. We observe that every module in this exact sequence is finitely generated as an  $R$ -module. Regarding this as an exact sequence of  $R$ -modules, we get an exact sequence  $0 \rightarrow \hat{X}_\mathfrak{p} \rightarrow \Lambda_\mathfrak{p} \otimes_{\hat{A}_\mathfrak{p}} \hat{P}_\mathfrak{p} \rightarrow \hat{P}'_\mathfrak{p} \rightarrow \hat{Y}_\mathfrak{p} \rightarrow 0$  for each maximal ideal  $\mathfrak{p}$  of  $R$ . Now for any  $R_\mathfrak{p}$ -module  $M$ ,  $\hat{M}_\mathfrak{p} = 0$  if and only if  $M = 0$ . Therefore  $\Lambda \otimes_A P \rightarrow P'$  is an isomorphism  $\Leftrightarrow X = 0 = Y \Leftrightarrow \hat{X}_\mathfrak{p} = 0 = \hat{Y}_\mathfrak{p}$  for all maximal ideals  $\mathfrak{p}$  of  $R \Leftrightarrow \hat{X}_\mathfrak{p} = 0 = \hat{Y}_\mathfrak{p}$  for all maximal ideals  $\mathfrak{p}$  of  $R \Leftrightarrow \hat{\Lambda}_\mathfrak{p} \otimes_{\hat{A}_\mathfrak{p}} \hat{P}_\mathfrak{p} \rightarrow \hat{P}'_\mathfrak{p}$  is an isomorphism for all maximal ideals  $\mathfrak{p}$  of  $R$ . Furthermore,  $A$  being noetherian and  $\hat{R}_\mathfrak{p}$  being  $R$ -flat, we get from Lemma 2 that  $\text{Ext}_{\hat{A}_\mathfrak{p}}^n(\hat{C}_\mathfrak{p}, \hat{D}_\mathfrak{p}) \approx \hat{R}_\mathfrak{p} \otimes_R \text{Ext}_A^n(C, D)$  for all finitely generated  $A$ -modules  $C$  and every  $A$ -module  $D$ . If  $C$  and  $D$  are both finitely generated as  $A$ -modules, then  $\text{Ext}_A^n(C, D)$  is finitely generated as an  $R$ -module for all  $n$ . Therefore, if  $D$  is a finitely generated  $A$ -module, then  $\text{Ext}_A^n(P, D) = 0$  for all  $n > 0 \Leftrightarrow R_\mathfrak{p} \otimes_R \text{Ext}_A^n(P, D) \approx \text{Ext}_{\hat{A}_\mathfrak{p}}^n(P_\mathfrak{p}, D_\mathfrak{p}) = 0$  for all  $n > 0$  and for all maximal ideals  $\mathfrak{p}$  of  $R \Leftrightarrow \hat{R}_\mathfrak{p} \otimes_R \text{Ext}_A^n(P, D) \approx \text{Ext}_{\hat{A}_\mathfrak{p}}^n(\hat{P}_\mathfrak{p}, \hat{D}_\mathfrak{p}) = 0$  for all  $n > 0$  and for all maximal ideals  $\mathfrak{p}$  of  $R$ . Therefore using the fact that  $A$  is noetherian, we see immediately that  $P$  is  $A$ -projective if and only if  $\hat{P}_\mathfrak{p}$  is  $\hat{A}_\mathfrak{p}$ -projective for all maximal ideals  $\mathfrak{p}$  of  $R$ . The same thing is true for  $\Lambda$ -modules  $P'$ .

**Proof of Theorem 1.** (i)  $\Rightarrow$  (ii):  $\bar{M} = \bar{A} \otimes_A M$  is  $\bar{A}$ -projective and  $\Lambda \otimes_A M = P'$  entails that  $\Lambda M = P'$  so that  $M \supset cM = c\Lambda M = cP'$ . Furthermore,  $\bar{\Lambda} \otimes_{\bar{A}} \bar{M} = \bar{\Lambda} \otimes_{\bar{A}} (\bar{A} \otimes_A M) = \bar{\Lambda} \otimes_A M = (\bar{\Lambda} \otimes_A \Lambda) \otimes_A M = \bar{\Lambda} \otimes_A P' = \bar{P}'$ .

(ii)  $\Leftrightarrow$  (i): By Proposition 3, we may assume that  $R$  is a complete local ring with the maximal ideal  $\mathfrak{m}$ . Therefore  $A$  is a semi-perfect ring [3]. If  $\mathfrak{q} \subset \mathfrak{m}$ , then the theorem is trivial since  $\mathfrak{q} = R$  entails that  $A = \Lambda$ . Therefore we may assume that  $\mathfrak{q} \subset \mathfrak{m}$ . In this case, we contend that  $\mathfrak{c}$  is contained in the  $J$ -radical of  $\Lambda$  as well as of  $A$ . For, since  $A$  and  $\Lambda$  are finitely generated as  $R$ -modules, it is clear that  $\mathfrak{c} = \mathfrak{q}\Lambda$  is contained in the  $J$ -radical of  $\Lambda$  and  $\mathfrak{q}A$  is contained in the  $J$ -radical of  $A$ . However,  $\mathfrak{c}^2 = \mathfrak{q}^2\Lambda \subset \mathfrak{q}A$  and hence  $\mathfrak{c}$  is also contained in the  $J$ -radical of  $A$ . Now since  $\bar{M}$  is  $\bar{A}$ -projective, there exists an  $\bar{A}$ -projective module  $P_1^*$  such that  $\bar{M} \oplus P_1^*$  is  $\bar{A}$ -free. Since  $\mathfrak{c}$  is contained in the  $J$ -radical of a semi-perfect ring  $A$ , there exists an  $A$ -projective cover of  $P_1^*$ , i.e., there exists an  $A$ -projective module  $P_1$  such that  $\bar{P}_1 = P_1^*$  (see [3; 9]). Put  $\Lambda \otimes_A P_1$

$= P'_1$ . Then  $P_1$  is an  $A$ -form of  $P'_1$  and hence, if we set  $X = M \oplus P$  and  $Y = P' \oplus P'_1$ , then  $X \subset Y$  and  $Y$  is  $\Lambda$ -projective and the condition (ii) is valid for  $X$  and  $Y$ .  $\bar{X}$  is  $\bar{A}$ -free, so let  $x_1, x_2, \dots, x_n$  be the elements of  $X$  such that their canonical images in  $\bar{X}$  form an  $\bar{A}$ -free basis of  $\bar{X}$ . Then they are  $\bar{\Lambda}$ -free basis of  $\bar{Y}$  since  $\bar{\Lambda} \otimes_{\bar{A}} \bar{X} = \bar{Y}$ . Therefore  $Y$  is  $\Lambda$ -free with the basis  $x_1, x_2, \dots, x_n$  since  $Y \rightarrow \bar{Y}$  is a  $\Lambda$ -projective cover. Therefore  $x_1, x_2, \dots, x_n$  are, a fortiori, linearly independent over  $A$ . However, they generate  $X$  by Nakayama's lemma, and hence  $X$  is  $A$ -free and  $\Lambda \otimes_A X = Y$ . Therefore, we see that the direct summand  $M$  of  $X$  is  $A$ -projective and  $\Lambda \otimes_A M = P'$ .

**COROLLARY 4.** *Let  $A, \Lambda, c$  be as above. If  $P'$  is a  $\Lambda$ -projective module such that  $\bar{P}'$  is  $\bar{\Lambda}$ -free, then it admits an  $A$ -form, i.e., there exists an  $A$ -projective module  $P$  such that  $\Lambda \otimes_A P = P'$ .*

**Proof.**  $\bar{P}'$ , being  $\bar{\Lambda}$ -free, clearly admits an  $\bar{A}$ -form, so  $P'$  has an  $A$ -form by Theorem 1.

**COROLLARY 5.** *Let  $A, \Lambda, c$  be as above, and  $P'$  a  $\Lambda$ -projective module such that  $\bar{P}'$  is  $\bar{\Lambda}$ -free (of rank  $n$ ). Then the number of isomorphism classes of  $A$ -forms  $M$  of  $P'$  such that  $\bar{M}$  is  $\bar{A}$ -free is the number of the double cosets of  $GL(n, \bar{\Lambda})$  modulo  $GL(n, \bar{A})$  and the subgroup  $T$  of the automorphisms of  $\bar{P}'$  induced by the automorphisms of  $P'$ .*

**Proof.** It follows from Theorem I that  $A$ -forms  $M$  of  $P'$  such that  $\bar{M}$  is  $\bar{A}$ -free, are in bijective correspondence with the points of  $GL(n, \bar{\Lambda})/GL(n, \bar{A})$ . Now let  $P_1, P_2$  be  $A$ -forms of  $P'$  such that  $\theta: P_1 \approx P_2$ . Then  $\theta$  extends uniquely to an automorphism  $\theta$  of  $P'$  such that  $\bar{\theta}: \bar{P}_1 \approx \bar{P}_2$ . Conversely if there exists an automorphism  $\theta$  of  $P'$  such that  $\bar{\theta}: \bar{P}_1 \approx \bar{P}_2$ , then  $\phi\theta(P_1) = \bar{P}_2$  and hence  $\theta(P_1) = P_2$ , i.e.,  $P_1 \approx P_2$ . Therefore the isomorphism classes of  $A$ -forms  $M$  of  $P'$  such that  $\bar{M}$  is  $\bar{A}$ -free is in bijective correspondence with the double cosets of  $GL(n, \bar{\Lambda})$  modulo  $GL(n, \bar{A})$  and the subgroup  $T$  induced by  $\text{Aut}(P')$ .

**COROLLARY 6.** *Let  $R$  be either a ring of integers in a number field, or function field in one-variable over a finite field. Let  $A, \Lambda, c$  be as above and assume that  $c \neq 0$ . Then, given a  $\Lambda$ -projective module  $P'$  the number of  $A$ -forms of  $P'$  is finite.*

**Proof.** Let  $c = q\Lambda$ . Then by hypothesis  $q \neq 0$ . By virtue of the hypothesis imposed on  $R$ ,  $R/q$  has only a finite number of elements. Consequently, the  $R/q$ -algebra  $\bar{\Lambda}$  which is finitely generated as  $R/q$ -module has only a finite number of elements. Therefore the assertion follows from Theorem 1.

We know now that every  $\Lambda$ -projective module  $P'$  such that  $\bar{P}'$  is  $\bar{\Lambda}$ -free comes from an  $A$ -projective module. In view of this fact, we may ask when a  $\Lambda$ -projective module  $P'$  has the property that  $\bar{P}'$  is  $\bar{\Lambda}$ -free. An answer to this question is provided by the following theorem, which is a trivial consequence of Theorem 2 in [4]. We simply quote it below for the benefit of the reader.

**THEOREM 7.** *Let  $R$  be a commutative ring with  $K$  its full ring of quotients,  $q$  an ideal of  $R$  such that  $R/q$  is Artinian. Let  $\Lambda$  be an  $R$ -projective  $R$ -algebra and assume that the Cartan matrix of  $\Lambda/q\Lambda$  is nonsingular. Then, given any two  $\Lambda$ -projective modules  $P$  and  $P'$ ,  $K \otimes_R P \approx K \otimes_R P'$  implies  $P/qP \approx P'/qP'$ . In particular, if  $K \otimes P$  is  $K \otimes \Lambda$ -free, then  $P/qP$  is  $\Lambda/q\Lambda$ -free.*

**3. Projective class group and categorical isomorphism.** First let us recall the definition of Grothendieck groups [13; 16]. Let  $\mathcal{C}$  be an additive category. The Grothendieck group  $G(\mathcal{C})$  associated with the category  $\mathcal{C}$  is an abelian group which is described by giving generators and relations. The generators are symbols  $[A]$ , one for each object  $A$  of  $\mathcal{C}$ , and relations are  $[A] = [A'] + [A'']$  for each exact sequence  $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$  in the category  $\mathcal{C}$ . (In what follows, if  $A \in \mathcal{C}$ , then  $[A]$  will denote the element in  $G(\mathcal{C})$  represented by the object  $A$ .) If  $\mathcal{C}_1, \mathcal{C}_2$  are additive categories and  $F: \mathcal{C}_1 \rightarrow \mathcal{C}_2$  is an exact functor, then  $F$  naturally induces a homomorphism  $G(F): G(\mathcal{C}_1) \rightarrow G(\mathcal{C}_2)$ , since relations are preserved. For any  $R$ -algebra  $\Lambda$  (where  $R$  is a noetherian domain with  $K$  its quotient field), we shall denote by  $\mathcal{P}(\Lambda)$  the category of all (finitely generated)  $\Lambda$ -projective modules and by  $\mathcal{P}_0(\Lambda)$  the subcategory of  $\mathcal{P}(\Lambda)$  consisting of all  $\Lambda$ -projective modules  $P$  such that  $K \otimes_R P$  is  $K \otimes_R \Lambda$ -free. In these categories, maps are of course  $\Lambda$ -homomorphisms. The associated Grothendieck groups will be denoted by  $P(\Lambda)$  and  $P_0(\Lambda)$  respectively. The factor groups  $C(\Lambda) = P(\Lambda)/\{[\Lambda]\}$ ,  $C_0(\Lambda) = P_0(\Lambda)/\{[\Lambda]\}_0$  are called the projective class group of  $\Lambda$ , and the reduced projective class group of  $\Lambda$  respectively, where  $\{[\Lambda]\}$  or  $\{[\Lambda]\}_0$  indicates the cyclic subgroup generated by  $[\Lambda]$  in  $P(\Lambda)$  or  $P_0(\Lambda)$  respectively. The reader will find immediately that this definition coincides with the original one given in [12; 13]. If  $P$  is in  $\mathcal{P}(\Lambda)$  or  $\mathcal{P}_0(\Lambda)$  then we shall indicate by  $[[P]]$  the element in  $C(\Lambda)$  or  $C_0(\Lambda)$  represented by  $P$ .

Let  $\Lambda$  be a ring and  $E$  a right  $\Lambda$ -module. Then  $E$  gives rise to the endomorphism ring  $\Gamma = \text{Hom}_\Lambda(E, E)$  and  $E$  becomes a right  $\Lambda$ , left  $\Gamma$ , bimodule. The dual  $E^* = \text{Hom}_\Lambda(E, \Lambda)$  is in an obvious way a left  $\Lambda$ , right  $\Gamma$ , bimodule. We call  $\Lambda$ -module  $E$  *regular* if (i)  $E$  is (finitely generated)  $\Lambda$ -projective and (ii)  $E \otimes E^* \rightarrow \Lambda$  given by  $(x, f) \rightarrow f(x)$  is an epimorphism. If  $E$  is a regular module, then there exists a categorical isomorphism between the category of (left)  $\Lambda$ -modules and the category of (left)  $\Gamma$ -modules. These facts were fully explored by Auslander-Goldman [2], Curtis [8] and Morita [11] and also by S. Chase. We state below the known results in the form convenient to our purpose.

**THEOREM 8.** *Let  $\Lambda$  be a ring,  $E$  a regular right  $\Lambda$ -module, and set  $E^* = \text{Hom}_\Lambda(E, \Lambda)$  and  $\Gamma = \text{Hom}_\Lambda(E, E)$ .  $\mathfrak{M}(\Lambda)$  and  $\mathfrak{M}(\Gamma)$  denote the category of (left)  $\Lambda$ -modules and (left)  $\Gamma$ -modules respectively. Then*

- (a)  $E$  and  $E^*$  are both (finitely generated)  $\Lambda$ -projective as well as  $\Gamma$ -projective;
- (b)  $\Lambda \approx \text{Hom}_\Gamma(E, E)$  as rings (of course  $\Gamma = \text{Hom}_\Lambda(E, E)$  by definition);

(c)  $E^* \otimes_{\Gamma} E \approx \Lambda$  as two-sided  $\Lambda$ -modules and  $E \otimes_{\Lambda} E^* \approx \Gamma$  as two-sided  $\Gamma$ -modules;

(d)  $S: \mathfrak{M}(\Lambda) \rightarrow \mathfrak{M}(\Gamma)$  given by  $S(M) = E \otimes_{\Lambda} M$  and  $T: \mathfrak{M}(\Gamma) \rightarrow \mathfrak{M}(\Lambda)$  given by  $T(N) = E^* \otimes_{\Gamma} N$  are categorical isomorphisms  $\mathfrak{M}(\Lambda) \approx \mathfrak{M}(\Gamma)$ . In fact,  $TS(M) \approx M$  for all  $M \in \mathfrak{M}(\Lambda)$  and  $ST(N) \approx N$  for all  $N \in \mathfrak{M}(\Gamma)$ .

Now  $E$  being  $\Gamma$ -projective, if  $M$  is  $\Lambda$ -projective then  $S(M) = E \otimes_{\Lambda} M$  is  $\Gamma$ -projective. Likewise, if  $N$  is  $\Gamma$ -projective then  $T(N) = E^* \otimes_{\Gamma} N$  is  $\Lambda$ -projective. Therefore  $S: \mathcal{O}(\Lambda) \rightarrow \mathcal{O}(\Gamma)$  and  $T: \mathcal{O}(\Gamma) \rightarrow \mathcal{O}(\Lambda)$  provides a categorical isomorphism  $\mathcal{O}(\Lambda) \approx \mathcal{O}(\Gamma)$ . If  $E$  is  $\Gamma$ -free, then the functor  $S$  will send  $\Lambda$ -free modules to  $\Gamma$ -free modules. Likewise, if  $E^*$  is  $\Lambda$ -free, then  $T$  will send  $\Gamma$ -free modules to  $\Lambda$ -free modules. Thus, if  $E$  is  $\Gamma$ -free and  $E^*$  is  $\Lambda$ -free, then we could conclude  $C(\Lambda) \approx C(\Gamma)$ . However,  $E$  and  $E^*$  need not be free in general. What conclusion can we draw if  $E$  and  $E^*$  are not known to be free? This is the question we are concerned with in this section (for a particular case).

Now let  $\Lambda$  be an  $R$ -algebra,  $E$  a regular right  $\Lambda$ -module and let  $E^*$  and  $\Gamma$  be as above. Then  $\Gamma$  is also an  $R$ -algebra. If  $P \in \mathcal{O}_0(\Lambda)$ , then  $K \otimes P$  is  $K \otimes \Lambda$ -free by definition, and hence the rank of  $K \otimes P$  over  $K \otimes \Lambda$  is defined (recall that  $\otimes = \otimes_R$ ). We put  $\rho(P) = \text{rank of } K \otimes P \text{ over } K \otimes \Lambda$ . This function  $\rho$ , being additive, induces  $\rho: P_0(\Lambda) \rightarrow Z$  which is clearly an epimorphism. With these hypotheses and notations, we have:

**THEOREM 9.**  $C_0(\Lambda) \approx \text{Ker}(\rho: P_0(\Lambda) \rightarrow Z)$ . If  $K \otimes E$  is a simple  $K \otimes \Lambda$ -module, then  $K \otimes \Gamma$  is a division algebra and  $C(\Gamma) \approx \text{Ker}(\rho: P_0(\Lambda) \rightarrow Z) \approx C_0(\Lambda)$ .

**Proof.** The first statement follows trivially from the splitting exact sequence  $0 \rightarrow \{[\Lambda]\}_0 \rightarrow P_0(\Lambda) \rightarrow C_0(\Lambda) \rightarrow 0$ . We now prove the second statement. Firstly, if  $K \otimes E$  is a simple  $K \otimes \Lambda$ -module, then  $K \otimes \Gamma = \text{Hom}_{K \otimes \Lambda}(K \otimes E, K \otimes E)$  is a division algebra by Schur's lemma. Furthermore,  $K \otimes \Lambda \approx K \otimes \text{Hom}_{\Gamma}(E, E) = \text{Hom}_{K \otimes \Gamma}(K \otimes E, K \otimes E)$  is a total matrix algebra over the division algebra  $K \otimes \Gamma$ .  $K \otimes E$  being a simple  $K \otimes \Lambda$ -module, so is  $K \otimes E^*$  and hence  $K \otimes \Lambda \approx r(K \otimes E^*)$  for some integer  $r$  as left  $K \otimes \Lambda$ -modules, where  $r(K \otimes E^*) = K \otimes E^* + \dots + K \otimes E^*$  ( $r$  times). Now the exact functor  $S: \mathcal{O}_0(\Lambda) \rightarrow \mathcal{O}(\Gamma)$  induces  $\bar{S}: P_0(\Lambda) \rightarrow C(\Gamma)$  which is given by  $[P] \rightarrow [[S(P)]] = [[E \otimes_{\Lambda} P]]$ .  $\bar{S}$  is an epimorphism. For, let  $Q$  be a  $\Gamma$ -projective module. Consider the  $\Lambda$ -projective module  $T(Q) = E^* \otimes_{\Gamma} Q$ .  $T(Q)$  need not be in  $\mathcal{O}_0(\Lambda)$  in general i.e.,  $K \otimes T(Q)$  need not be  $K \otimes \Lambda$ -free. However, since  $K \otimes \Lambda \approx r(K \otimes E^*)$ , it is clear that  $T(Q) \oplus mE^*$  will lie in  $\mathcal{O}_0(\Lambda)$  for some integer  $m$ . Then  $\bar{S}(T(Q) \oplus mE^*) = [[ST(Q) \oplus mS(E^*)]] = [[Q \oplus m(E \otimes_{\Lambda} E^*)]] = [[Q \oplus m\Gamma]] = [[Q]]$ . This proves that  $\bar{S}$  is an epimorphism. Let us determine the kernel of  $\bar{S}$ . Suppose that  $\bar{S}(P_1) = \bar{S}(P_2)$ , i.e.,  $[[S(P_1)]] = [[S(P_2)]]$ , i.e.,  $S(P_1) \oplus m_1\Gamma \approx S(P_2) \oplus m_2\Gamma$ . Then  $TS(P_1) \oplus m_1T(\Gamma) \approx TS(P_2) \oplus m_2T(\Gamma)$ , i.e.,  $P_1 \oplus m_1E^* \approx P_2 \oplus m_2E^*$ . Tensoring with  $K$ , we find that  $m_1 \equiv m_2 \pmod{r}$  since  $P_i \in \mathcal{O}_0(\Lambda)$ . Conversely, if  $mE^* \in \mathcal{O}_0(\Lambda)$ , i.e., if  $m \equiv 0 \pmod{r}$ , then  $\bar{S}(mE^*) = [[mS(E^*)]] = [[m\Gamma]] = 0$ . Therefore  $\text{Ker } \bar{S} = \text{cyclic subgroup of } P_0(\Lambda)$

generated by  $[rE^*]$ . Therefore  $0 \rightarrow Z \xrightarrow{i} P_0(\Lambda) \xrightarrow{\bar{g}} C(\Gamma) \rightarrow 0$  is exact where  $i(m) = m[rE^*]$ . Then it is clear that  $\rho i = \text{identity}$  and hence the above exact sequence splits so that we have  $C(\Gamma) \approx \text{Ker}(\rho: P_0(\Lambda) \rightarrow Z)$ .

**4. Applications.** Let  $A$  be an  $R$ -projective  $R$ -algebra without nilpotent ideals. Then  $\Sigma = K \otimes A$  is a semi-simple  $K$ -algebra. An  $R$ -algebra  $\Lambda$  contained in  $\Sigma$  is called an *order* of  $\Sigma$  over  $R$  if  $K \otimes \Lambda = \Sigma$ . A *maximal order* is an order which is not properly contained in another order. It is well known that every order is imbedded in a maximal order [1; 2]. (The reader should observe that in the above remark, we used our convention that algebra = algebra which is finitely generated as a module.)

**THEOREM 10.** *Let  $A$  be an  $R$ -projective  $R$ -algebra without nilpotent ideals,  $\Lambda$  a maximal order of  $\Sigma = K \otimes A$  containing  $A$ , and let  $\mathfrak{c}$  be the conductor of  $\Lambda$  in  $A$ . If  $\Lambda/\mathfrak{c}$  is Artinian and its Cartan matrix is nonsingular, then  $C_0(A) \rightarrow C_0(\Lambda) \rightarrow 0$  is exact.*

**Proof.** Let  $\mathcal{O}_0(A)$  (or  $\mathcal{O}_0(\Lambda)$ ) be the category of all  $A$ -projective (or  $\Lambda$ -projective) modules  $P$  such that  $K \otimes P$  is  $\Sigma$ -free. Then  $\mathcal{O}_0(A) \rightarrow \mathcal{O}_0(\Lambda)$  given by  $P \rightarrow \Lambda \otimes_A P$  is an exact functor and hence induces a homomorphism  $j: P_0(A) \rightarrow P_0(\Lambda)$ . Since  $j[A] = [\Lambda]$ , it induces  $C_0(A) \rightarrow C_0(\Lambda)$ . To see that this is an epimorphism it suffices to see that  $j: P_0(A) \rightarrow P_0(\Lambda)$  is an epimorphism. However this follows immediately from Corollary 4, Theorem 7 and the hypothesis.

**COROLLARY 11.** *Let  $R$  be a Dedekind domain with quotient field  $K$ , and  $A$  a torsion-free  $R$ -algebra without nilpotent ideals. Let  $\Lambda$  be a maximal order of  $K \otimes A$  containing  $A$ . Then  $C_0(A) \rightarrow C_0(\Lambda) \rightarrow 0$  is exact. If  $K \otimes \Lambda$  splits completely, i.e., is a ring direct sum of total matrix algebras, then  $C_0(\Lambda) \approx C(\Lambda) \approx \text{ideal class group of the center of } \Lambda$ .*

**Proof.** As for the first statement, it suffices to check that if  $\mathfrak{c}$  is the conductor of  $\Lambda$  in  $A$ , then  $\Lambda/\mathfrak{c}$  is Artinian and its Cartan matrix is nonsingular. Let  $\mathfrak{q} = \{r \in R \mid r\Lambda \subset A\}$ . Then, by definition,  $\mathfrak{c} = \mathfrak{q}\Lambda$ . Since  $\Lambda$  is finitely generated as an  $R$ -module and  $K \otimes \Lambda = K \otimes A$ , we see that  $\mathfrak{q} \neq 0$ , and hence  $\Lambda/\mathfrak{c} = R/\mathfrak{q} \otimes_R \Lambda$  is Artinian. Now  $\Lambda$  being a maximal order in a semi-simple algebra  $K \otimes \Lambda$ , it is a ring direct sum of maximal orders in simple algebras, i.e.,  $\Lambda = \Lambda_1 + \cdots + \Lambda_s$  and  $\Lambda_i$  is a maximal order in the simple algebra  $K \otimes \Lambda_i$ . Furthermore, we know that  $\Lambda_i/\mathfrak{p}\Lambda_i$  is a primary algebra for any nonzero prime ideal  $\mathfrak{p}$  of  $R$  [5]. Thus, for any nonzero ideal  $\mathfrak{q}$  of  $R$ ,  $\Lambda_i/\mathfrak{q}\Lambda_i$  is a ring direct sum of primary algebras and consequently the Cartan matrix of  $\Lambda/\mathfrak{q}\Lambda$  is nonsingular. This proves the first statement. As for the second statement, we may assume that  $\Lambda$  is a maximal order in a simple algebra since  $C_0(\Lambda) = \prod_{i=1}^s C_0(\Lambda_i)$ . If  $\Lambda$  is a maximal order in a simple algebra, it is known [2] that  $\Lambda$  admits a regular right  $\Lambda$ -module  $E$  such that  $K \otimes E$  is  $K \otimes \Lambda$ -simple. Therefore by Theorem 9, we have  $C_0(\Lambda) \approx C(\Gamma)$  where  $\Gamma = \text{Hom}_\Lambda(E, E)$  and

$K \otimes \Gamma$  is a division algebra associated with the simple algebra  $K \otimes \Lambda$ . Furthermore,  $\Gamma$  is a maximal order in  $K \otimes \Gamma$  [2]. If  $K \otimes \Lambda$  is a total matrix algebra over a field, then  $K \otimes \Gamma$  is the center of  $K \otimes \Lambda$  and hence  $\Gamma$  is the center of  $\Lambda$ .  $R$  being a Dedekind domain,  $\Gamma$  is also a Dedekind domain and hence  $C(\Gamma) \approx$  ideal class group of  $\Gamma$ . Therefore  $C_0(\Lambda) \approx$  ideal class group of the center of  $\Lambda$ . This completes the proof.

**COROLLARY 12.** *Let  $\pi$  be a finite group of order  $n$  and  $R$  the ring of integers in a number field  $K$ . Consider the group algebras  $R\pi$  and  $K\pi$ . Let  $\Lambda$  be a maximal order of  $K\pi$  containing  $R\pi$ . Then  $C(R\pi) \rightarrow C_0(\Lambda) \rightarrow 0$  is exact. If  $K$  contains a primitive  $n$ th root of unity, then  $C(R\pi) \rightarrow$  (ideal class group of the center of  $K\pi$ )  $\rightarrow 0$  is exact.*

**Proof.** From a theorem of Swan [15; 16], we know that every  $R$ -projective module  $P$  has the property that  $K \otimes P$  is  $K\pi$ -free. Therefore  $C(R\pi) = C_0(R\pi)$  and hence the first statement follows from Corollary 11. If  $K$  contains a primitive  $n$ th root of unity, then it follows from a theorem of Brauer [6] that  $K\pi$  splits completely, i.e., a ring direct sum of total matrix algebra. Therefore  $C_0(\Lambda) =$  ideal class group of the center of  $\Lambda$ . However, the center of  $K\pi$  is a direct sum of number fields and hence the center of  $\Lambda$  is the unique maximal order of the center of  $K\pi$ , i.e., the ring of integers in the center of  $K\pi$ . Therefore by the definition of the ideal class group in a number field, we get the result.

**REMARK.** The above Corollary 12 was also obtained by R. G. Swan. His method depends on the nature of the group ring and uses the author's characterization of projective modules over  $R\pi$ .

As another application of Theorem 1, we give below a theorem concerning the finiteness of class numbers. Namely:

**THEOREM 13.** *Let  $R$  be the ring of integers in a number field or a function field in one variable over a finite field. Let  $K$  be the quotient field of  $R$  and let  $A$  be a torsion-free  $R$ -algebra without nilpotent ideals. If the Cartan matrix of  $R/\mathfrak{p} \otimes A$  is nonsingular for all nonzero prime ideals  $\mathfrak{p}$  of  $R$ , then  $C_0(A)$  is a finite group.*

**Proof.** Let  $\Lambda$  be a maximal order of  $\Sigma = K \otimes A$  containing  $A$ . Then  $\Lambda$  is hereditary [10] and every  $\Lambda$ -projective module can be written as a direct sum of free modules and an ideal. Therefore every element in  $C_0(\Lambda)$  can be represented by an ideal in  $\Lambda$ . However, it is a theorem of Artin [1] that the number of isomorphism classes of left ideals in  $\Lambda$  is finite. (Artin proves it only for the number field case but the same argument applies to the other case.) Therefore it follows that  $C_0(\Lambda)$  is a finite group. There remains to see that  $\text{Ker}(C_0(A) \rightarrow C_0(\Lambda))$  is a finite group. However, under the hypothesis on Cartan-matrix of  $A$ , every  $A$ -projective module can be written as a direct

sum of an  $A$ -free module and an ideal of  $A$ , according to a theorem of H. Bass [4]. Therefore the assertion follows immediately from Corollary 6.

COROLLARY 14 (R. G. Swan). *Let  $R$  be as above and  $\pi$  a finite group. Then  $C_0(R\pi)$  is a finite group, where  $R\pi$  stands for the group algebra of  $\pi$  over  $R$ .*

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